



# NICO++: Towards Better Benchmarking for Domain Generalization

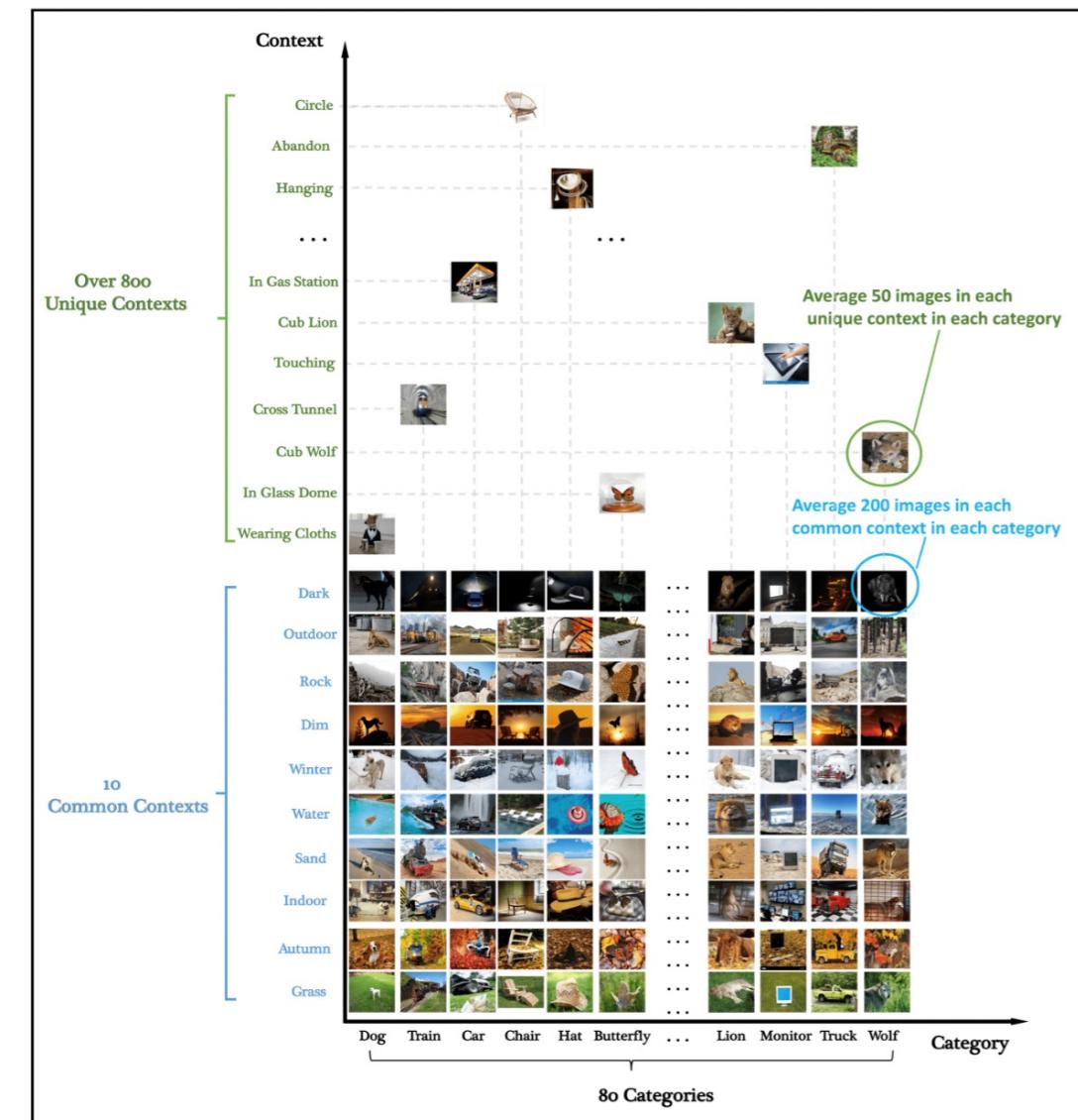
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CVPR, 2023

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Peng Cui\**

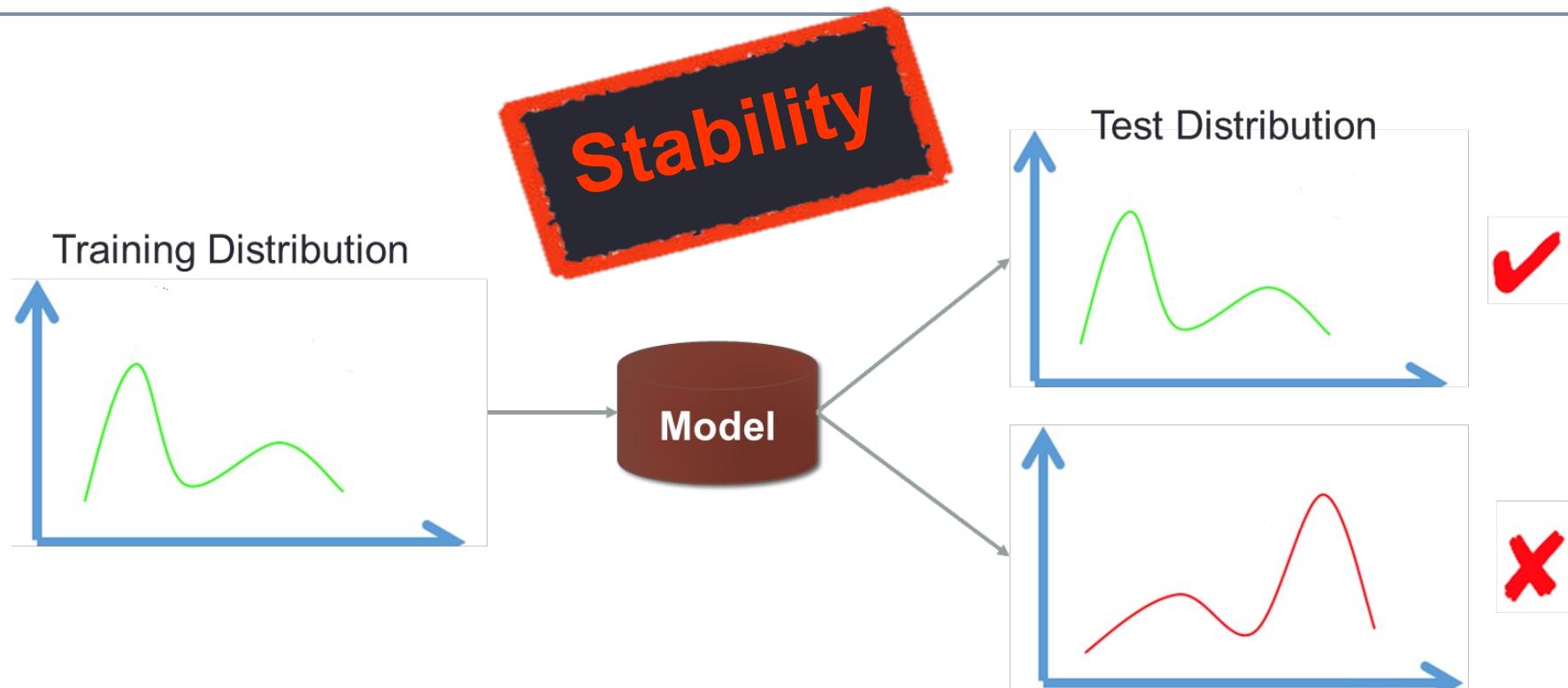
# Brief view of NICO++

- A brand new large visual dataset
  - Towards better evaluation of visual OOD generalization
  - More than 200,000 images with 20 domains
  - Lower concept shift and higher covariate shift
  - Benchmarking for both standard DG and flexible DG
  - Mitigating the potential leakage of test information

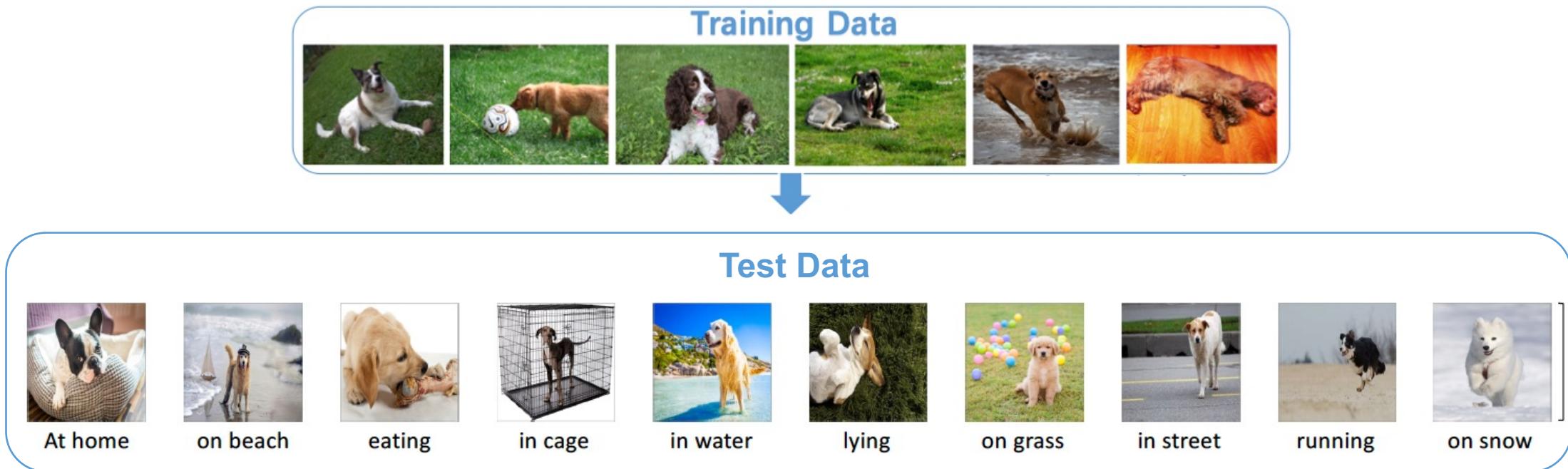


# Risks of Today's AI Algorithms

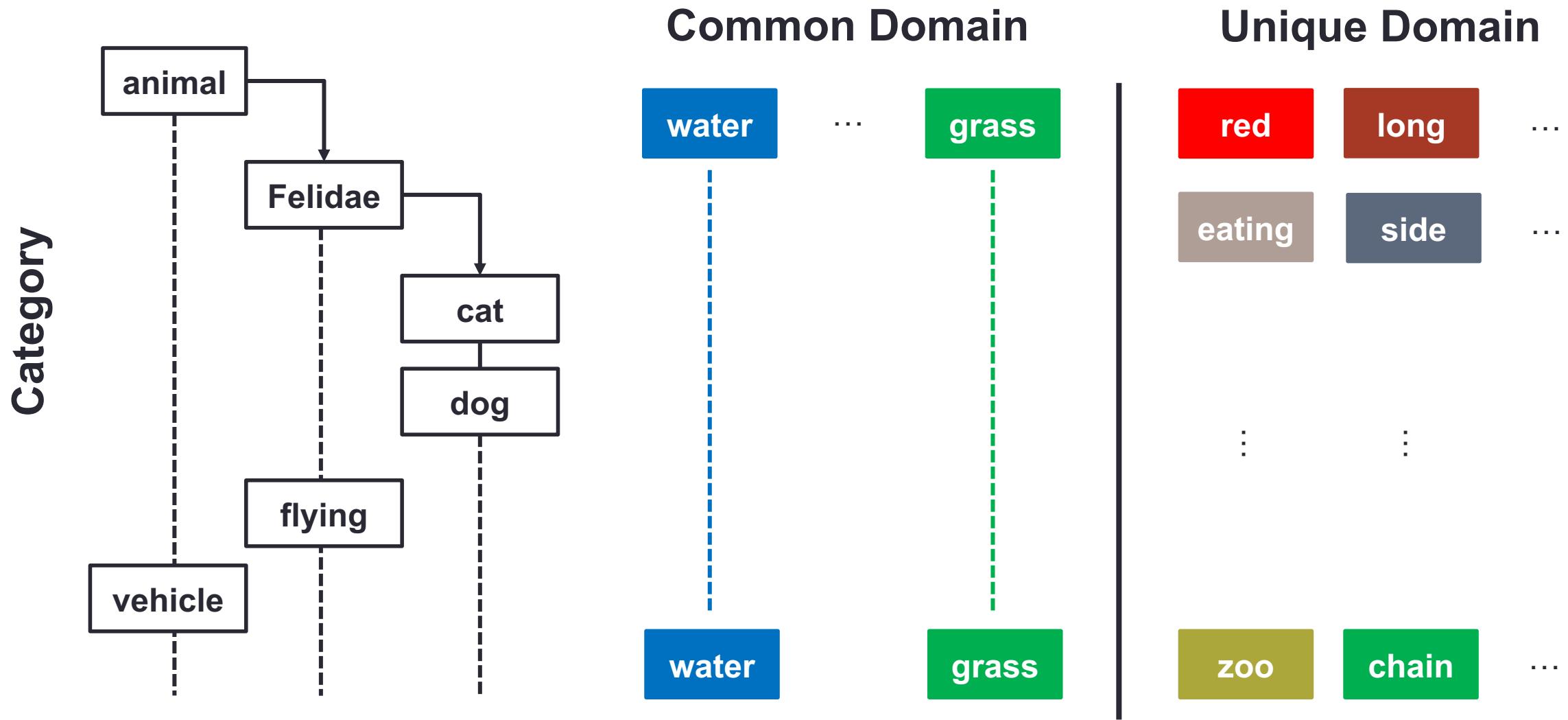
Most ML methods are developed under I.I.D hypothesis



# OOD Generalization in Visual Recognition

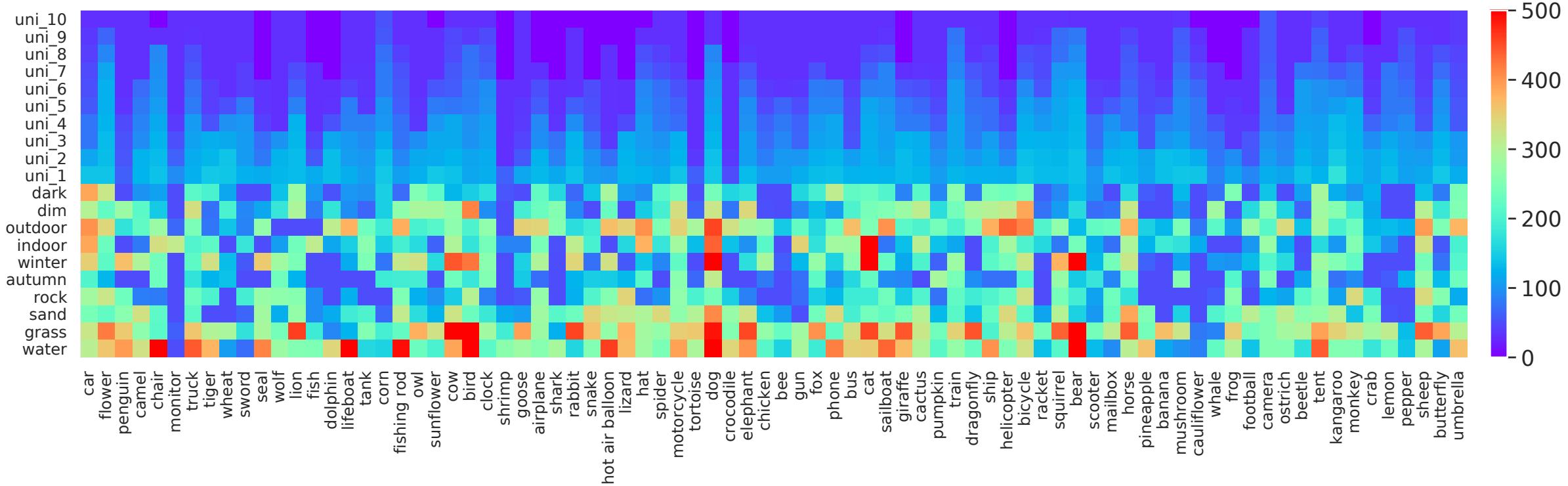


# Structure of NICO++



# Statistics of NICO++

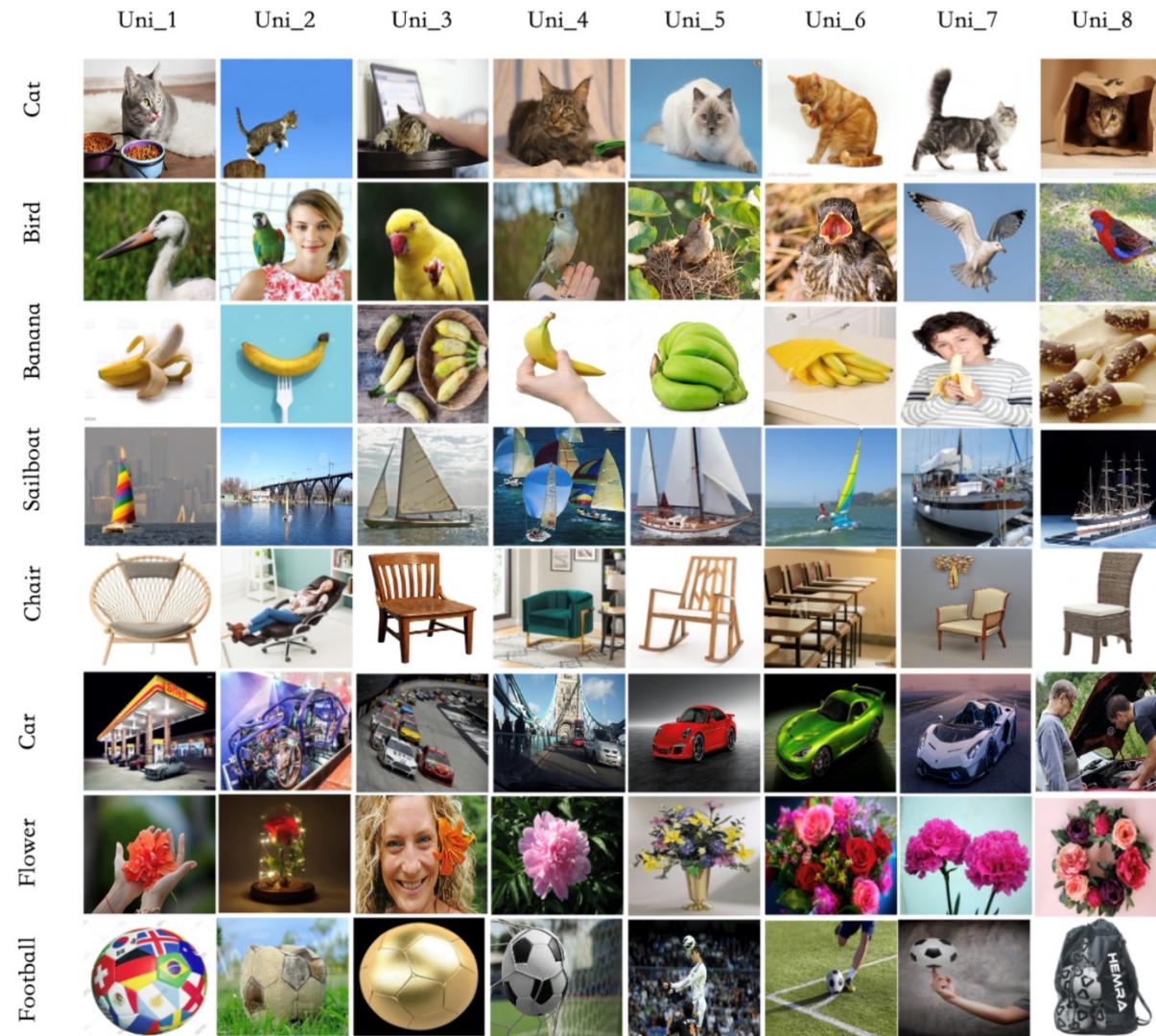
- 80 categories
  - 10 common domains for each category
  - 10 unique domains for each category
  - Still growing...



# Common Domains



# Unique Domains

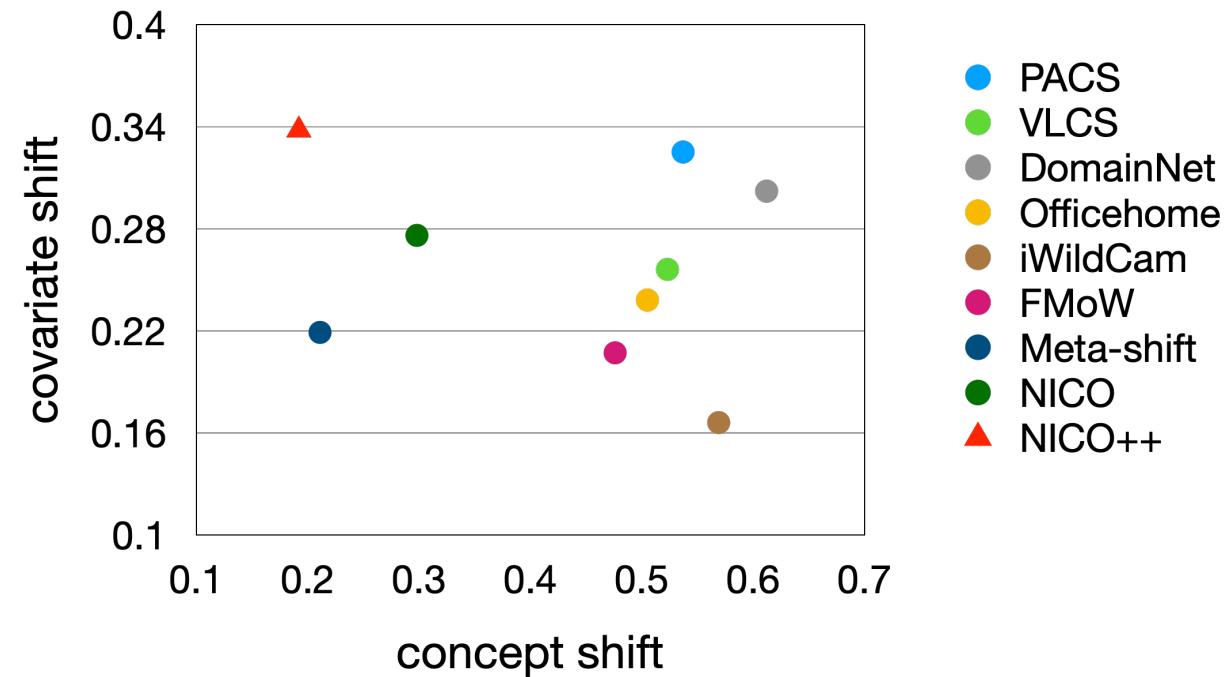


# Covariate shift and concept shift

$$\varepsilon_{te}(h) \leq \varepsilon_{tr}(h) + \mathcal{M}_{\text{cov}}(\mathcal{D}_{tr}, \mathcal{D}_{te}; \mathcal{H}, \ell) + \mathcal{M}_{\text{cpt}}^{\min}(\mathcal{D}_{tr}, \mathcal{D}_{te}, f_{tr}, f_{te}; \ell).$$

↓  
 prediction error  
 on target data      ↓  
 prediction error  
 on source data      ↓  
 covariate shift  
 between source  
 and target data      ↓  
 concept shift  
 between source  
 and target data

- The covariate shift  $p(x)$  reflects the difficulty of solving OOD problems.
- The concept shift indicates the labeling function shift between target and source data, which is not solvable using algorithms.
- NICO++ shows stronger covariate shift and lower concept shift compared with other OOD datasets.



# Benchmark: Standard DG

Method	Training: Di, G, O, Wa		Training: A, R, O, Wa		Training: A, R, Di, G				
	A	R	Di	G	O	Wa	Ova.	Avg.	Std
ERM	81.89	79.76	72.42	82.31	76.80	71.01	77.08	77.36	4.39
SWAD [11]	82.98	81.21	74.59	83.50	78.43	72.81	78.65	78.92	4.06
MMLD [48]	80.62	79.63	73.17	81.24	78.08	71.23	77.09	77.33	3.80
RSC [33]	81.26	79.99	71.91	81.67	76.51	70.78	76.73	77.02	4.35
AdaClust [70]	79.25	78.93	71.41	81.48	74.23	70.13	75.71	75.91	4.24
SagNet [52]	<b>83.12</b>	81.17	73.72	83.42	78.43	73.03	78.56	78.81	4.18
EoA [3]	82.88	<b>81.86</b>	<b>75.83</b>	83.29	<b>78.63</b>	72.80	<b>78.88</b>	<b>79.22</b>	3.87
MixStyle [96]	75.83	73.51	65.89	76.69	70.51	63.41	70.66	70.97	4.93
MLDG [41]	82.24	80.57	72.24	<b>84.14</b>	77.19	71.33	77.76	77.95	4.84
MMD [43]	81.73	79.26	72.33	82.57	77.24	70.90	77.11	77.34	4.41
CORAL [68]	82.89	80.69	73.77	82.90	78.26	<b>73.21</b>	78.38	78.62	3.95
StableNet [87]	82.82	80.30	74.05	<u>83.52</u>	76.91	72.34	78.06	78.32	4.23
FACT [79]	81.55	81.03	74.32	82.16	78.07	71.30	77.74	78.07	4.03
JiGen [9]	82.64	80.36	74.15	83.29	77.14	71.59	77.89	78.19	4.31
GroupDRO [60]	81.81	79.69	72.37	82.11	77.28	71.72	77.26	77.50	4.17
DDG [85]	82.53	79.68	72.42	83.03	77.91	71.86	77.70	77.90	4.42
DNA [12]	82.24	80.62	72.07	82.56	78.00	71.39	77.54	77.81	4.55
Fishr [57]	81.98	79.38	72.62	82.37	77.61	70.91	77.22	77.48	4.37
IRM [2]	81.66	79.82	72.58	82.46	76.83	70.92	77.11	77.38	4.38
Mixup [80, 84]	81.84	80.38	74.02	82.62	78.20	72.36	78.01	78.24	<u>3.85</u>
Oracle	91.18	89.98	89.29	90.27	88.55	86.23	88.99	89.25	1.58

## 6 public common domains

- Split into 3 groups
  - Autumn, Rock
  - Dim, Grass
  - Outdoor, Water
- For each standard DG setting
  - 4 domains for training
  - 2 domains for test
- 4 private domains
  - Used for NICO Challenge
  - Will be released after more common domains are added this year

## Results

- Current SOTA show their effectiveness
  - EoA, CORAL, StableNet...
- A gap between SOTA and oracle
  - Spacious room for improvement

# Benchmark: Flexible DG

Method	ERM	SWAD	MMLD	RSC	AdaClust	SagNet	EoA	MixStyle	StableNet	FACT	JiGen	Oracle
Rand.	74.19	75.62	73.25	75.20	73.39	72.79	<u>76.22</u>	73.47	<b>77.37</b>	75.34	75.44	84.60
Comp.	78.01	76.97	76.85	75.76	76.64	76.15	<b>79.62</b>	77.01	78.19	<u>79.39</u>	78.77	86.18
Avg.	76.10	76.30	75.05	75.48	75.02	74.47	<b>77.92</b>	75.24	<u>77.78</u>	<u>77.37</u>	77.11	85.39

**20 domains (10 common + 10 unique) for each category**

- Compositional
  - 14 domains for training
    - 2 major domains: all images each domain
    - 12 minor domains: 50 images each domain
  - 6 domains for test
  - Major domains for one category can be the test domains for other categories
- Random
  - 4 fixed training domains across all categories
    - 2 major domains: all images each domain
    - 2 minor domains: 50 images each domain
  - Other 16 domains
    - 12 minor domains for training: 50 images each domain
    - 4 test domains for test
  - The two major domains for every category cannot be the test domains for any category

## Results

- Current SOTA show their effectiveness
  - EoA, FACT, StableNet...
- A gap between SOTA and oracle
  - Spacious room for improvement

# Test Variance and Model Selection

	PACS			DomainNet			VLCS			OfficeHome			NICO++		
Method	Epoch	Seed	Gap	Epoch	Seed	Gap	Epoch	Seed	Gap	Epoch	Seed	Gap	Epoch	Seed	Gap
ERM	0.96	0.82	2.66	0.61	0.57	0.46	0.83	0.58	3.59	0.77	0.59	0.81	<b>0.22</b>	<b>0.10</b>	<b>0.39</b>
SWAD	0.41	0.76	1.61	0.35	0.30	0.39	0.74	0.49	0.58	0.31	0.25	0.30	<b>0.07</b>	<b>0.05</b>	<b>0.06</b>
MMLD	1.68	2.02	3.25	1.03	0.50	0.85	2.33	1.12	3.97	1.25	0.47	0.56	<b>0.25</b>	<b>0.10</b>	<b>0.15</b>
RSC	0.76	0.81	0.93	0.55	0.35	0.56	1.02	0.61	0.80	0.85	0.37	0.89	<b>0.18</b>	<b>0.05</b>	<b>0.10</b>
AdaClust	1.06	1.74	1.54	0.98	0.41	0.72	1.32	1.79	1.34	1.36	1.30	0.28	<b>0.22</b>	<b>0.04</b>	<b>0.13</b>
SagNet	0.74	2.44	2.78	0.92	<b>0.23</b>	0.54	0.94	1.74	4.19	0.80	0.30	<b>0.44</b>	<b>0.11</b>	0.31	0.61
EoA	0.11	0.36	0.18	0.22	0.16	<b>0.02</b>	0.15	0.45	0.21	0.05	0.29	0.08	<b>0.02</b>	<b>0.04</b>	0.13
MixStyle	1.53	0.63	1.69	0.60	0.36	0.42	1.27	1.78	3.40	0.72	0.43	0.56	<b>0.17</b>	<b>0.16</b>	<b>0.00</b>
MLDG	0.82	1.02	1.24	0.53	0.25	0.55	1.15	1.01	4.14	1.03	0.09	0.23	<b>0.10</b>	<b>0.08</b>	<b>0.12</b>
MMD	1.13	2.39	0.66	0.82	0.24	0.50	1.98	1.32	3.72	0.61	<b>0.02</b>	<b>1.34</b>	<b>0.11</b>	0.11	<b>0.16</b>
CORAL	1.09	1.02	1.18	0.52	0.48	0.47	0.77	0.94	3.18	0.49	0.28	0.50	<b>0.06</b>	<b>0.17</b>	<b>0.19</b>
StableNet	0.90	1.25	1.03	0.34	0.71	0.82	0.86	0.69	0.88	0.44	0.21	0.48	<b>0.09</b>	<b>0.05</b>	<b>0.09</b>
FACT	0.31	0.46	0.52	0.14	<b>0.16</b>	<b>0.37</b>	0.64	0.85	1.17	0.21	0.27	0.68	<b>0.06</b>	0.19	1.09
JiGen	0.33	1.15	0.70	0.16	0.18	0.39	0.51	0.67	1.30	0.20	0.69	0.25	<b>0.05</b>	<b>0.09</b>	<b>0.10</b>
GroupDRO	1.27	0.96	2.09	0.96	0.37	0.54	1.18	0.85	4.93	0.63	0.47	0.55	<b>0.16</b>	<b>0.10</b>	<b>0.16</b>
IRM	3.77	3.02	4.14	2.17	0.89	0.00	6.00	1.74	5.77	2.10	1.59	0.00	<b>0.90</b>	<b>0.54</b>	<b>0.00</b>

### **Potential shortcuts for model selection in DG**

- Select the best hyperparameters via test performance
- Select the best epoch model checkpoint
- Select the best seed model checkpoint

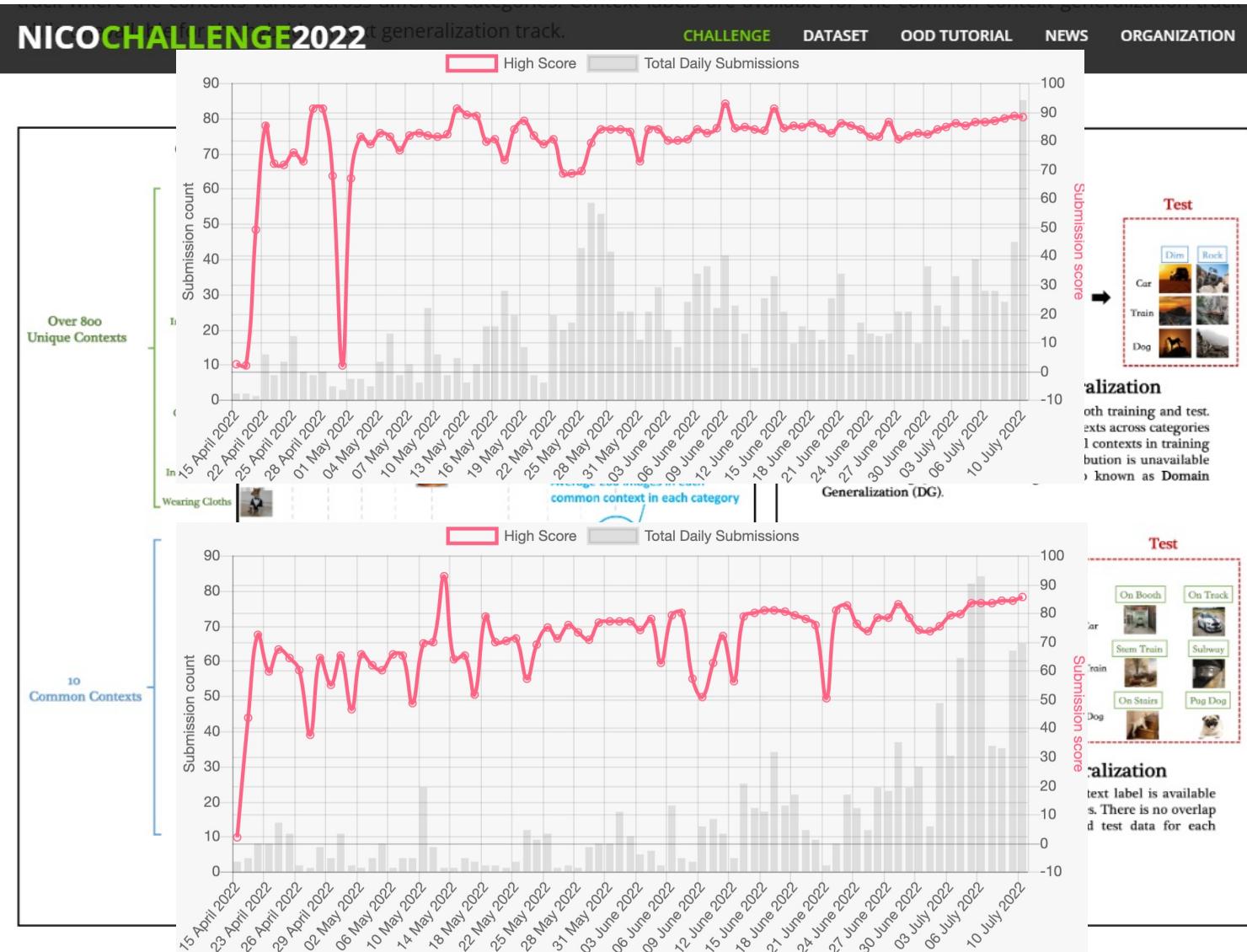
### **Metrics measuring the potential leakage**

- Test variance across epochs
- Test variance across seeds
- Gap between standard model selection and oracle model selection

**NICO++ squeezes all of them! => A fairer comparison for DG**

# NICO Challenge 2022

<https://nicochallenge.com/>



## Statistics

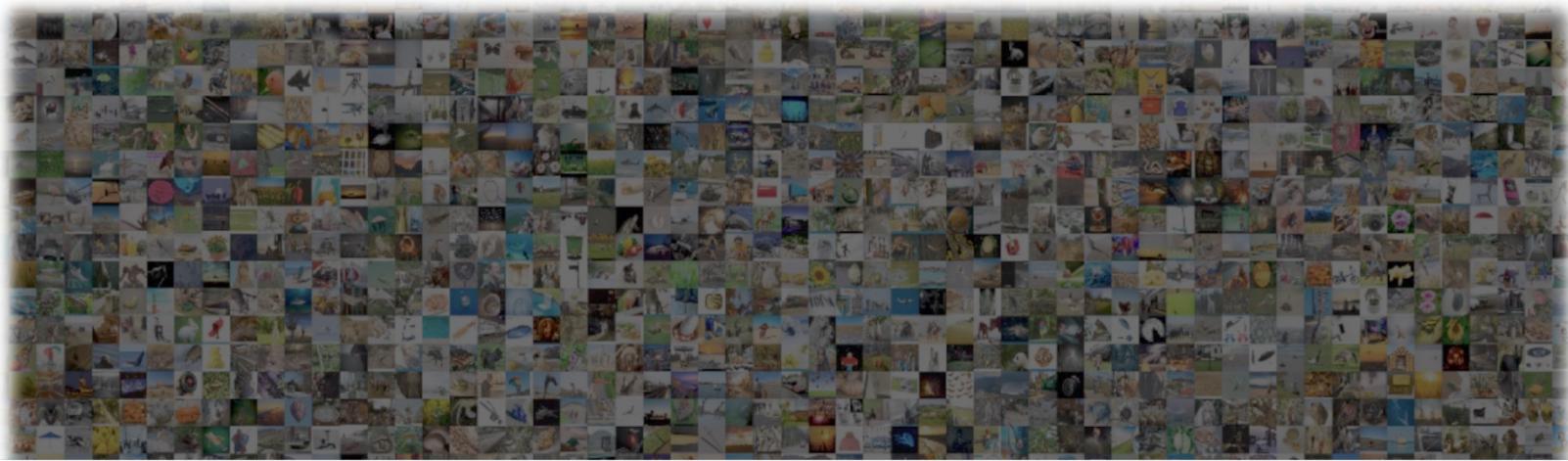
- A total of 178 teams participated.
- Over 4,000 results submitted for public test.

# NICO Challenge 2023 is coming!!!

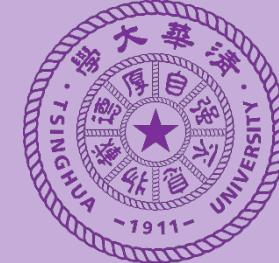
*More Categories*

*More Domains*

*More Challenging Tracks*



**Join to solve visual problems  
in open applications!**



# Thanks!

Github link:

<https://github.com/xxgege/NICO-plus>

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*Xingxuan Zhang, Yue He, Renzhe Xu, Han Yu, Zheyang Shen, Peng Cui.  
NICO++: Towards better benchmarks for Domain Generalization. CVPR,  
2023.*