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# DivClust: Controlling Diversity in Deep Clustering

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**Poster:** TUE-AM-325

**Paper:** <https://arxiv.org/abs/2304.01042>

**Code:** <https://github.com/ManiadisG/DivClust>



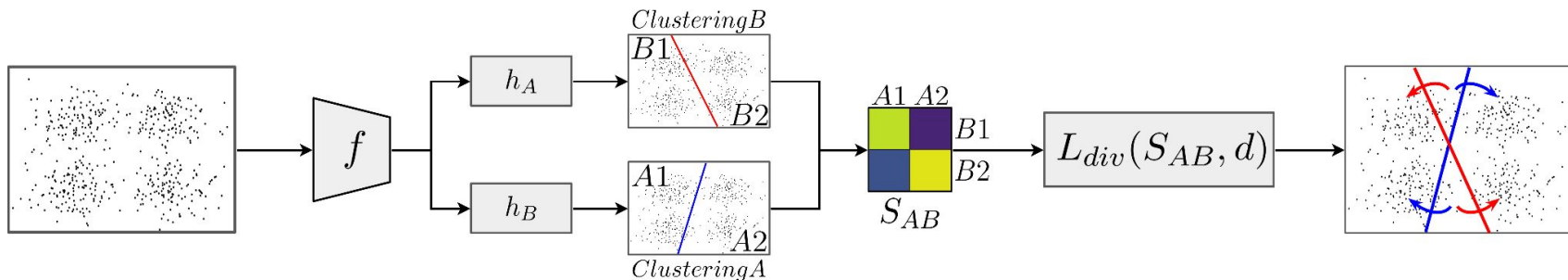
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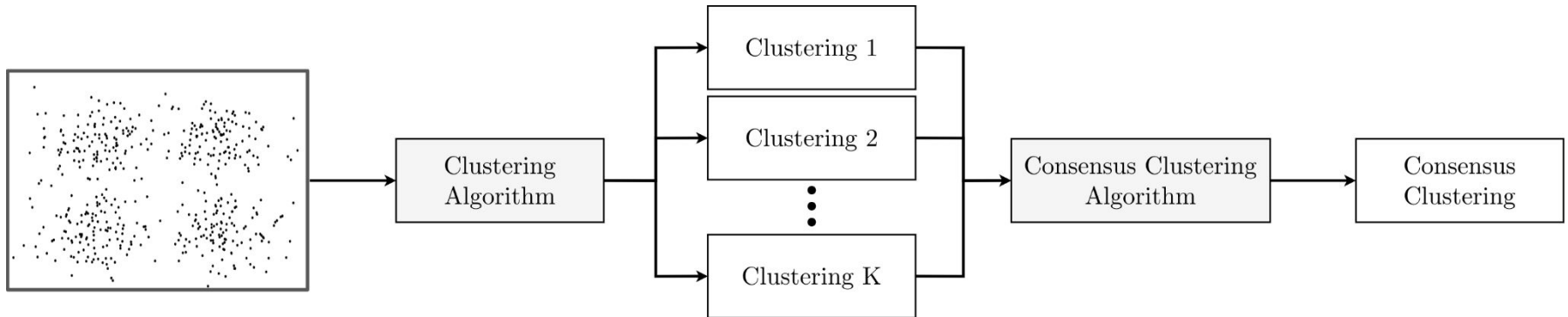
# Overview

- DivClust is a method that can be incorporated in deep clustering frameworks to learn multiple clusterings while controlling their diversity
- DivClust:
  - a. Learns clusterings that satisfy user-defined diversity targets
  - b. Is straightforward to incorporate in deep clustering frameworks and introduces minimal computational cost
  - c. Can outperform single-clustering frameworks by using consensus clustering algorithms to aggregate the learned clusterings



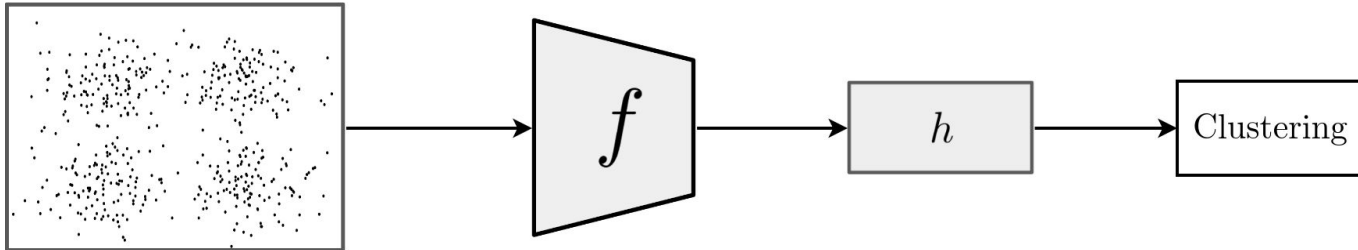
# Motivation

- Learning multiple, diverse clusterings is important as:
  - a. There may be multiple meaningful ways to partition a set of data
  - b. Consensus clustering, which is known to produce more robust solutions, requires a diverse set of clusterings



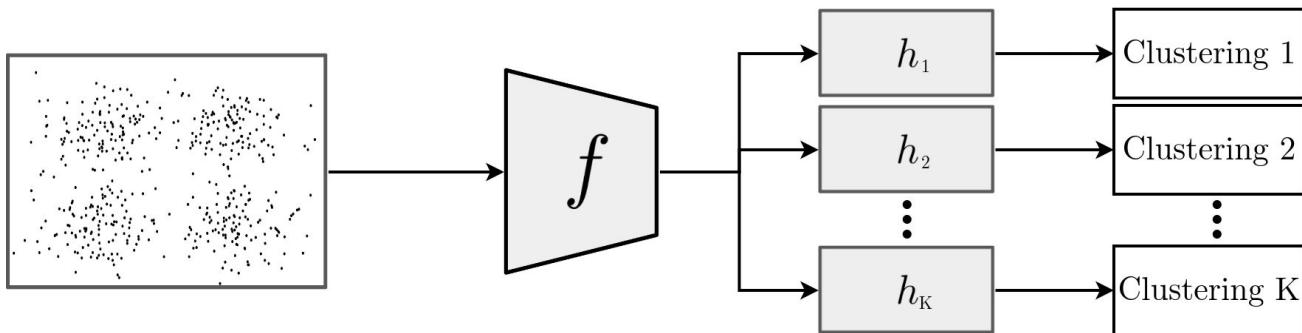
# Motivation

- Deep clustering models only learn one clustering
- Typical methods for producing multiple clusterings:
  - a. Are computationally expensive
  - b. Offer no control over the diversity of the clusterings
- This prevents the use of consensus clustering to improve outcomes



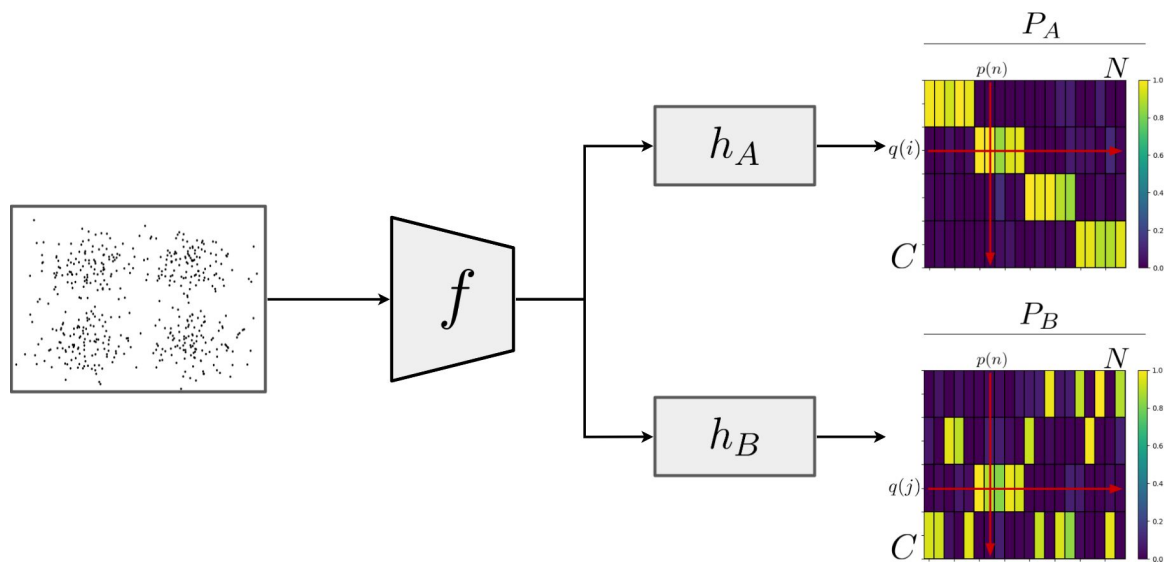
# Method

- We assume a base deep clustering framework, trained with its loss  $L_{\text{main}}$
- Our goal: learn  $K$  clusterings whose similarity  $D^R$  is smaller than a target  $D^T$
- The model is modified with  $K$  projection heads, each learning a clustering
- Without restrictions, we find that clusterings converge



# Method

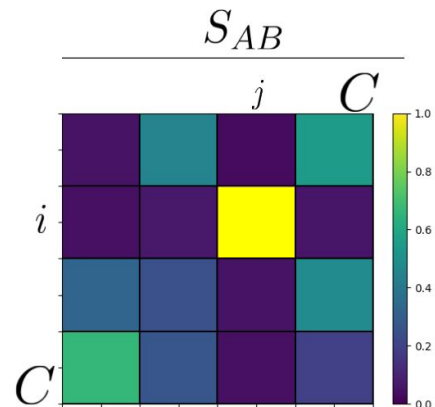
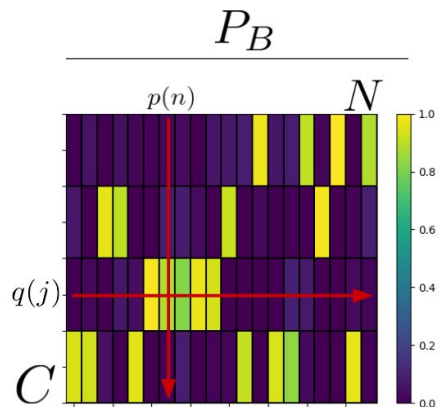
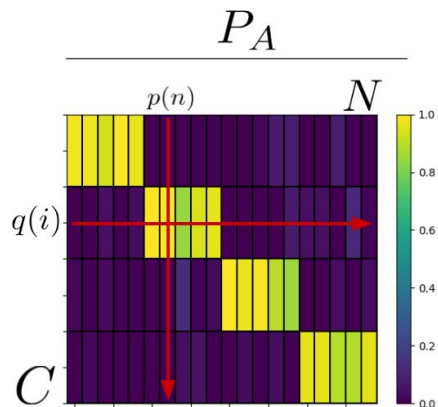
- Each head  $h_k$  produces a matrix  $P_k \in \mathbb{R}^{C \times N}$ , mapping  $N$  samples to  $C$  clusters
- Its rows  $q \in \mathbb{R}^N$  are cluster membership vectors, showing which samples belong to each cluster



# Method

- We define the inter-clustering similarity matrix for any two clusterings A & B to measure the similarity between any two clusterings

$$S_{AB}(i, j) = \frac{q_A(i) \cdot q_B(j)}{\|q_A(i)\|_2 \|q_B(j)\|_2}$$

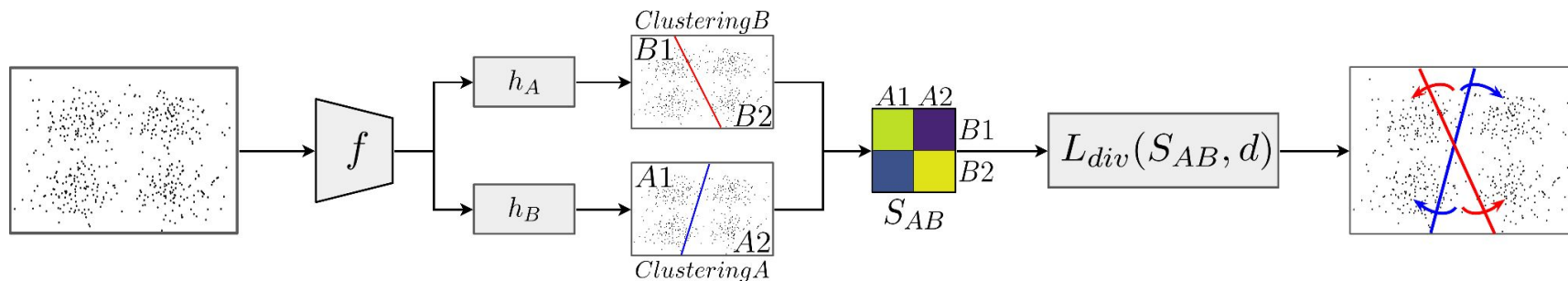


# Method

- Assuming a similarity upper bound  $d$ , we define the loss  $L_{div}$  as:

$$L_{div}(A, B) = \left[ \frac{1}{C} \sum_{i=1}^C \max_j (S_{AB}(i, j)) - d \right]_+$$

- Minimizing  $L_{div}$  means that, on average, clusters of A and B will be no more similar than  $d$





# Method

- The similarity upper bound  $d$  is updated dynamically in regular intervals
- We measure the inter-clustering similarity  $D^R$  and adjust  $d$  as follows:

$$d_{s+1} = \begin{cases} \max(d_s(1 - m), 0), & \text{if } D^R > D^T \\ \min(d_s(1 + m), 1), & \text{if } D^R \leq D^T \end{cases}$$

- The loss tightens when the clusterings are *too* similar and relaxes when they satisfy the diversity target

# Method

- The loss  $L_{div}$  can be extended to any number of clusterings  $K$  and combined with the Deep Clustering framework's original loss  $L_{main}$
- The final loss  $L_{total}$  the model trains with is:

$$L_{total} = \frac{1}{K} \sum_{k=1}^K \left[ L_{main}(k) + \frac{1}{K-1} \sum_{k'=1, k' \neq k}^K L_{div}(k, k') \right]$$

# Experiments

- We incorporate DivClust in three deep clustering frameworks: IIC [1], PICA [2] & CC [3]
- To evaluate, we measure the similarity  $D^R$  of the learned clusterings, and use the SCCBG [4] consensus clustering algorithm to aggregate them
- Objectives:
  - a.  $D^R \leq D^T$
  - b. The consensus clustering accuracy should be higher than the baseline framework, trained to learn a single clustering

[1] Ji, Xu, Joao F. Henriques, and Andrea Vedaldi. "Invariant information clustering for unsupervised image classification and segmentation." Proceedings of the IEEE/CVF International Conference on Computer Vision. 2019.

[2] Huang, Jiabo, Shaogang Gong, and Xiatian Zhu. "Deep semantic clustering by partition confidence maximisation." Proceedings of the IEEE/CVF conference on computer vision and pattern recognition. 2020.

[3] Li, Yunfan, et al. "Contrastive clustering." Proceedings of the AAAI Conference on Artificial Intelligence. 2021.

[4] Zhou, Peng, Liang Du, and Xuejun Li. "Self-paced consensus clustering with bipartite graph." Proceedings of the Twenty-Ninth International Conference on International Joint Conferences on Artificial Intelligence. 2021.

# Experiments

- We first apply IIC, PICA & CC on the CIFAR 10 dataset

- For all frameworks:

- a. Inter-clustering similarity DR is lower than or very close to the diversity target DT
- b. Consensus clustering accuracy outperforms the single-clustering baselines for most diversity levels

Framework	Clusterings	$D^T$	$D^R$	Acc.
IIC	1	-	-	0.442
	20	1.	0.983	0.526
	20	0.95	0.939	0.533
	20	0.9	0.888	0.578
	20	0.8	0.8	0.653
	20	0.7	0.694	<b>0.685</b>
PICA	1	-	-	0.533
	20	1.	0.991	0.596
	20	0.95	0.931	0.625
	20	0.9	0.891	0.652
	20	0.8	0.817	0.595
	20	0.7	0.703	<b>0.671</b>
CC	1	-	-	0.764
	20	1.	0.976	0.763
	20	0.95	0.946	0.76
	20	0.9	0.9	0.789
	20	0.8	0.814	<b>0.819</b>
	20	0.7	0.699	0.815

# Experiments

- We subsequently focus on CC and apply it on CIFAR10, CIFAR100, ImageNet-Dogs, ImageNet-10

- DivClust outperforms single-single clustering baselines and alternative methods of learning multiple clusterings

Dataset	$D^T$	CIFAR10	CIFAR100	ImageNet-10	ImageNet-Dogs
Metric	NMI	ACC	ACC	ACC	ACC
K-means	-	0.229	0.130	0.241	0.105
CC	-	0.790	0.429	0.893	0.429
CC-Kmeans	-	0.698	0.405	0.841	0.444
CC-Kmeans/S	-	0.69	0.402	0.842	0.444
CC-Kmeans/F	-	0.762	0.409	0.847	0.444
<b>CC + DivClust</b>	1.	0.763	0.424	<u>0.895</u>	<u>0.451</u>
	0.95	0.76	<u>0.434</u>	<u>0.936</u>	<u>0.451</u>
	0.9	<u>0.789</u>	0.426	<u>0.92</u>	<u>0.487</u>
	0.8	<u>0.819</u>	0.414	<u>0.918</u>	<u>0.448</u>
	0.7	<u>0.815</u>	<u>0.437</u>	<u>0.90</u>	<u>0.529</u>

# Experiments

- The complexity of DivClust is  $O(nK^2C^2)$  for batch size  $n$ ,  $K$  clusterings and  $C$  clusters
- In practice, the relative impact of DivClust is very small, particularly for large backbones

K	$D^T$	T	Time (h)	Time Increase (%)
1	1.	-	39.1	0
20	1.	-	40.5	3%
20	0.9	20	44.6	14%

*Runtimes of CC, trained for 1,000 epochs on CIFAR10, with image size 224x224 and a ResNet34 backbone.*

# Conclusion

- We introduce DivClust, a method that can be incorporated in deep clustering frameworks to learn multiple clusterings while controlling their diversity
- Experiments show that DivClust:
  - a. Effectively controls diversity according to user-defined targets
  - b. Allows for the use of consensus clustering, which outperforms single-clustering solutions
  - c. Is straightforward to use and introduces minimal computational cost

