



# Efficient Loss Function by Minimizing the Detrimental Effect of Floating-point Errors on Gradient-based Attacks



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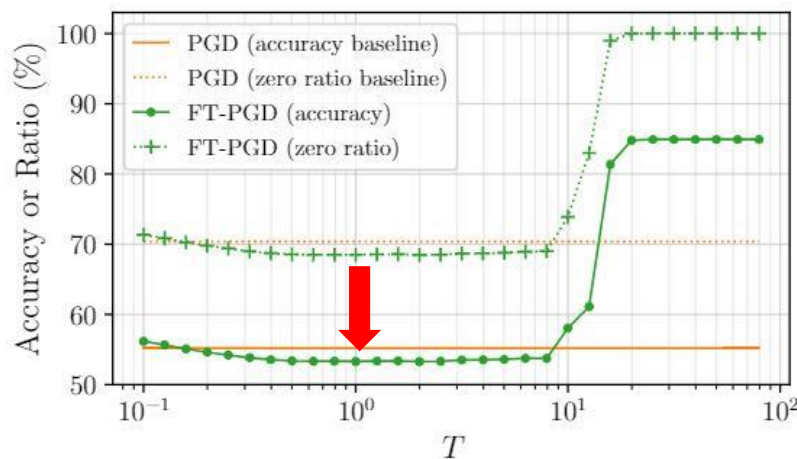
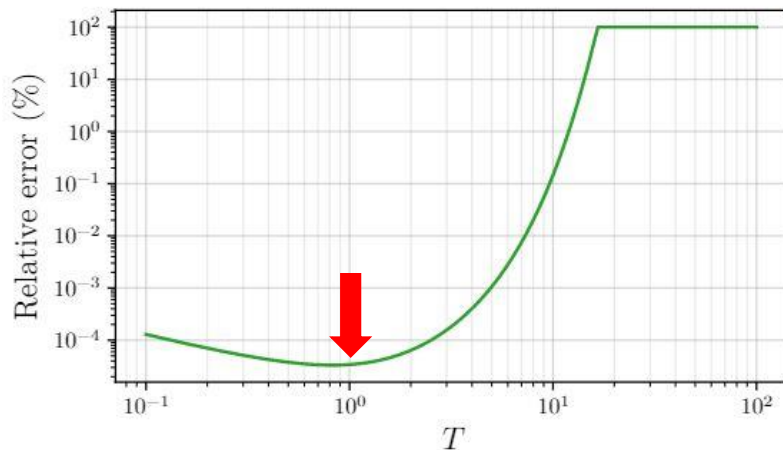
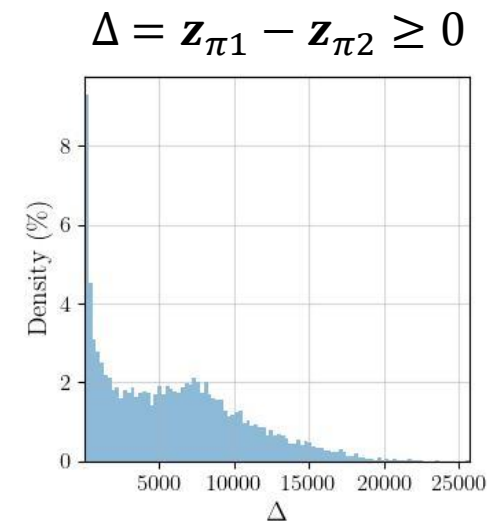
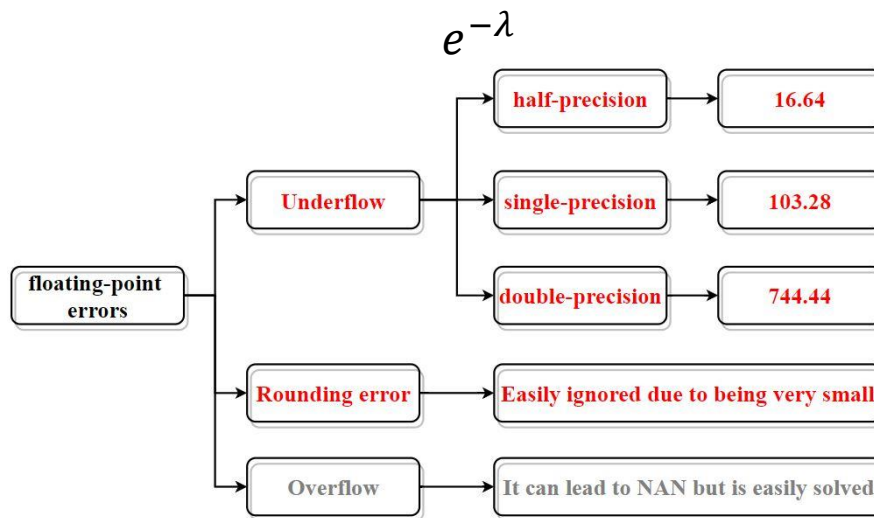
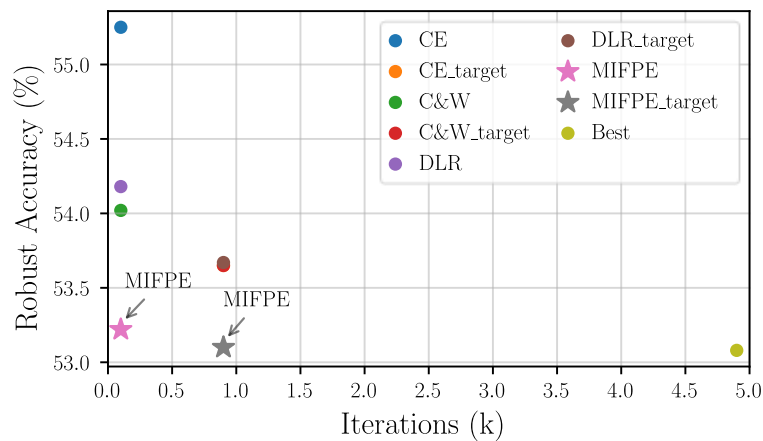


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# Quick Review



$$\mathcal{L}^{\text{MIFPE}}(\mathbf{z}, y) \triangleq \mathcal{L}^{\text{ce}}(T\mathbf{z}/\Delta_{\text{detach}}, y),$$

$$\mathcal{L}_{\text{target}}^{\text{MIFPE}}(\mathbf{z}, y_t) = -\mathcal{L}^{\text{ce}}(T\mathbf{z}/\Delta_{\text{detach}}, y_t),$$

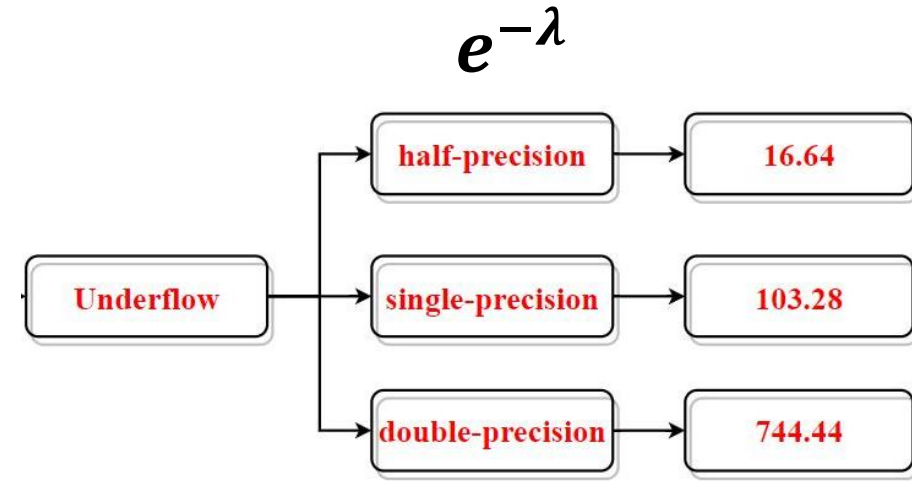
# Motivation - Floating-point errors

$$CE(\mathbf{z}, y) = -\log p_y = -\log \frac{e^{\mathbf{z}_y - \mathbf{z}_{\pi_1}}}{\sum_{i=1}^K e^{\mathbf{z}_i - \mathbf{z}_{\pi_1}}}$$

where  $p_i = \frac{e^{\mathbf{z}_i - \mathbf{z}_{\pi_1}}}{\sum_{j=1}^K e^{\mathbf{z}_j - \mathbf{z}_{\pi_1}}}, i \in \{1, 2, \dots, K\}$ .

$$\nabla_{\hat{\mathbf{x}}} CE(\mathbf{z}, y) = (-1 + p_y) \nabla_{\hat{\mathbf{x}}}(\mathbf{z}_y - \mathbf{z}_{\pi_1}) + \sum_{i \neq y} p_i \nabla_{\hat{\mathbf{x}}}(\mathbf{z}_i - \mathbf{z}_{\pi_1})$$

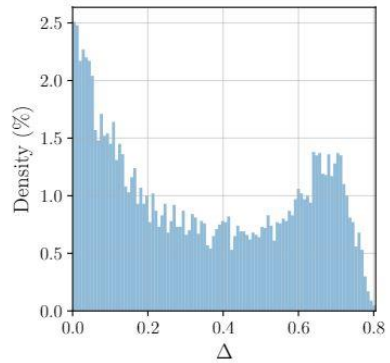
when  $\Delta = \mathbf{z}_{\pi_1} - \mathbf{z}_{\pi_2} \geq \lambda$  and  $\mathbf{z}_y = \mathbf{z}_{\pi_1} \Rightarrow p_y = 1, p_{i \neq y} = 0 \Rightarrow \nabla_{\hat{\mathbf{x}}} CE(\mathbf{z}, y) = 0$



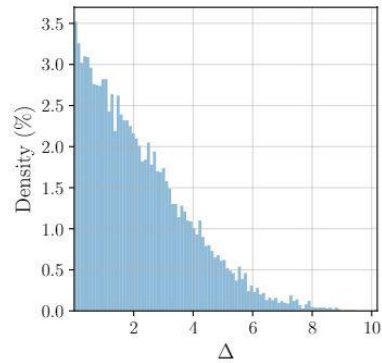
*The relative error* of the calculated gradient

$$\delta_{CE} = \delta(\nabla_{\hat{\mathbf{x}}} CE(\mathbf{z}, y)) = 100\%.$$

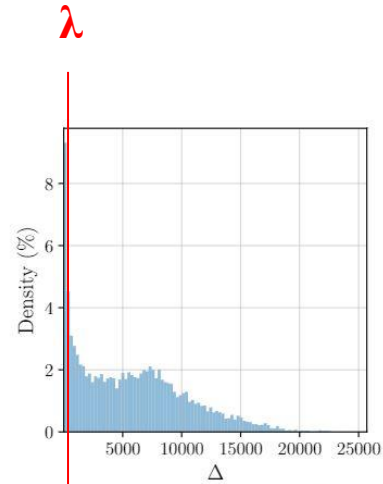
# Underflow can not explain all cases



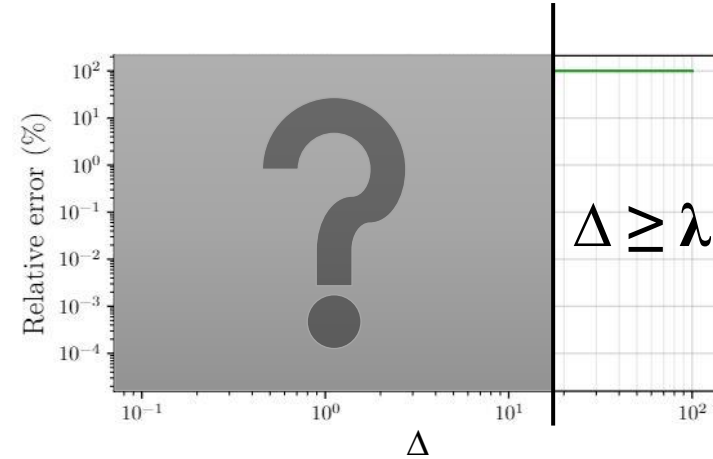
(a) The  $\Delta$  distribution of [35].



(b) The  $\Delta$  distribution of [58].



(c) The  $\Delta$  distribution of [3].



$$0 \leq \Delta \ll \lambda$$

$$\Delta \geq \lambda$$

$$0 \leq \Delta < \lambda$$

But not all values of  $\Delta$  in the model are greater than  $\lambda$ , **so what does the relative error  $\delta_{CE}$  look like when  $0 \leq \Delta < \lambda$ ?**

# Rounding error



when  $\mathbf{z}_y = \mathbf{z}_{\pi 1}$

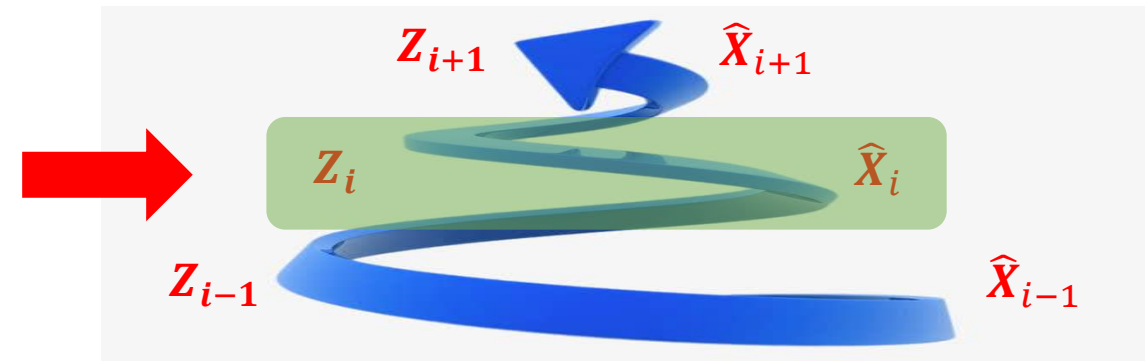
$$\nabla_{\hat{\mathbf{X}}} \text{CE}(\mathbf{z}, y) = \sum_{i \neq y} p_i \nabla_{\hat{\mathbf{X}}}(\mathbf{z}_i - \mathbf{z}_{\pi 1})$$

After we introduce a scaling factor  $c$

where  $c = T / \Delta_{detach}$

$$\nabla_{\hat{\mathbf{X}}} \text{CE}(c\mathbf{z}, y) = c \sum_{i \neq y} p_i^c \nabla_{\hat{\mathbf{X}}}(\mathbf{z}_i - \mathbf{z}_{\pi 1})$$

where  $p_i^c = \frac{e^{c(\mathbf{z}_i - \mathbf{z}_{\pi 1})}}{\sum_{j=1}^K e^{c(\mathbf{z}_j - \mathbf{z}_{\pi 1})}}$ ,  $i \in \{1, 2, \dots, K\}$  and  $i \neq y$



# Strong correlation

- when  $K = 2$

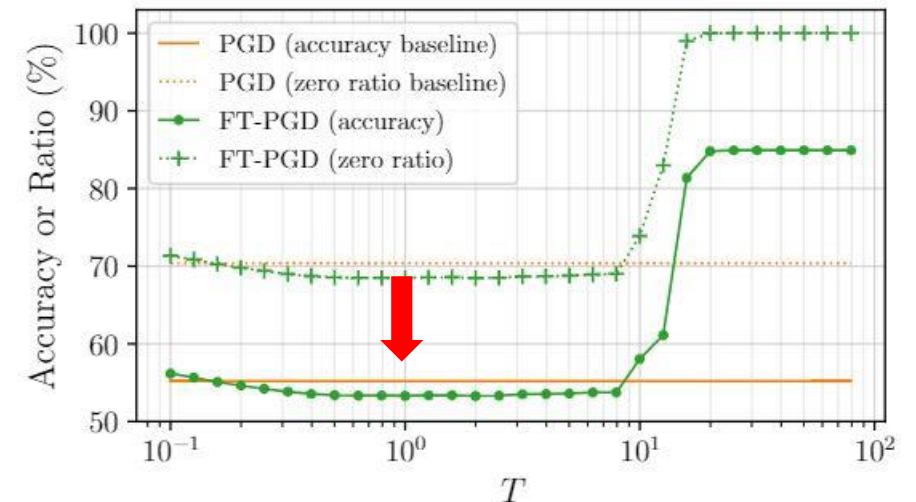
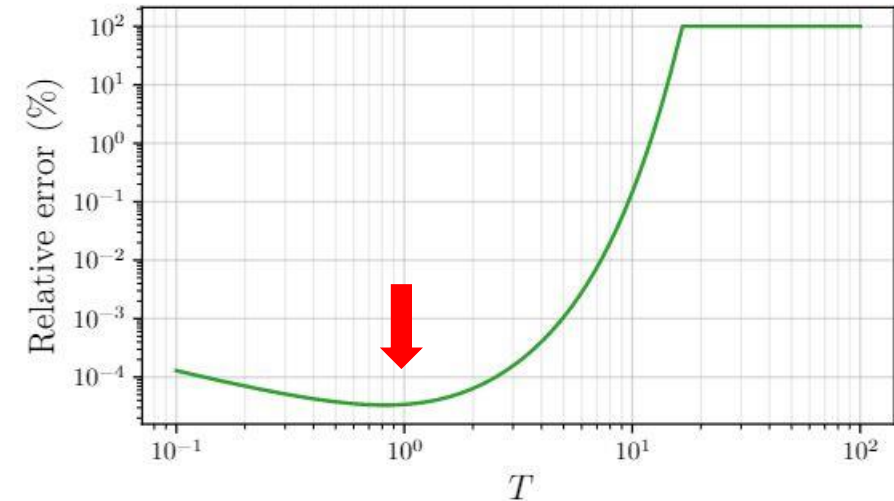
$$\nabla_{\hat{\mathbf{x}}} \text{CE}(c\mathbf{z}, y) = cp_2^c \nabla_{\hat{\mathbf{x}}} (\mathbf{z}_{\pi_2} - \mathbf{z}_{\pi_1}) \propto cp_2^c$$

$$\delta_{CE} \propto \delta(cp_2^c)$$

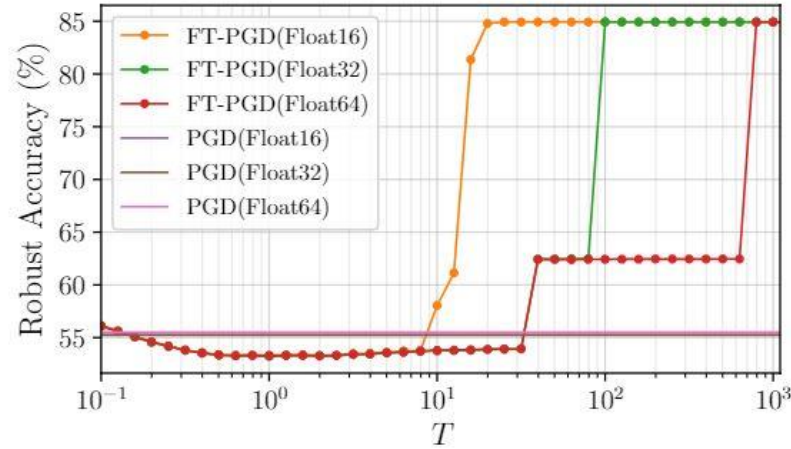
- Following the same operation, we add a scale factor  $c$  to  $\Delta$  and hold  $T = c\Delta$  constant during each iteration of the multi-iteration attack

$$\mathcal{L}^{\text{MIFPE}}(\mathbf{z}, y) \triangleq \mathcal{L}^{\text{ce}}(T\mathbf{z}/\Delta_{\text{detach}}, y),$$

$$\mathcal{L}_{\text{target}}^{\text{MIFPE}}(\mathbf{z}, y_t) = -\mathcal{L}^{\text{ce}}(T\mathbf{z}/\Delta_{\text{detach}}, y_t),$$



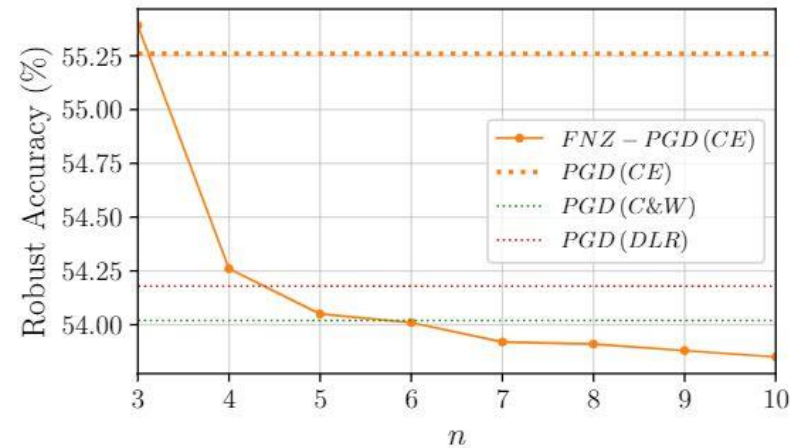
- Increasing the floating-point precision



- Surrogate loss functions

$$\mathcal{L}^{\text{cw}}(\mathbf{z}, y) = -z_y + \max_{i \neq y} z_i,$$

$$\mathcal{L}^{\text{dlr}}(\mathbf{z}, y) = \frac{-z_y + \max_{i \neq y} z_i}{z_{\pi 1} - z_{\pi 3}},$$



# Experiment

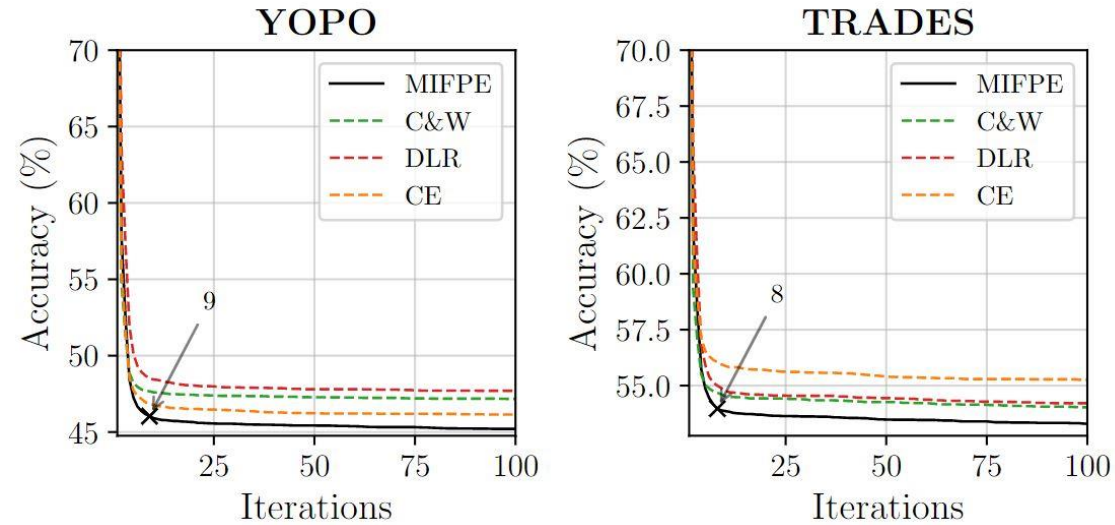


Defense method	Architecture	Clean	CE ( $\mathcal{L}^{sce}$ ) 100	C&W ( $\mathcal{L}^{cw}$ ) 100	DLR ( $\mathcal{L}^{dlr}$ ) 100	GAMA_PG 100	MIFPE ( $\mathcal{L}^{LNSCE}$ ) 100	Best 4900
MNIST, $\ell_\infty, \epsilon = 0.3$								
Uncovering limits [123]	WRN-28-10	99.26	96.55	96.64 (+0.09)	96.71 (+0.16)	96.69 (+0.14)	<b>96.53 (-0.02)</b>	96.31
MMA training [35] †	LeNet5Madry	98.98	95.66	95.60 (-0.06)	95.56 (-0.10)	95.96 (+0.13)	<b>95.50 (-0.16)</b>	93.51
MMA training [35]	LeNet5Madry	98.95	95.09	95.33 (+0.24)	95.59 (+0.50)	95.74 (+0.19)	<b>94.88 (-0.21)</b>	91.40
Neural level sets [15]	SmallCNN	99.35	99.28	94.68 (-4.60)	95.09 (-4.19)	99.29 (+0.01)	<b>94.67 (-4.61)</b>	90.85
TRADES [14]	SmallCNN	99.48	93.69	93.88 (+0.19)	94.49 (+0.80)	93.82 (+0.13)	<b>93.67 (-0.02)</b>	92.71
Robust optimization [1]	SmallCNN	99.35	93.06	93.19 (+0.13)	93.63 (+0.57)	93.39 (+0.33)	<b>92.88 (-0.18)</b>	90.85
Fast adversarial training [26]	SmallCNN	98.50	86.82	86.96 (+0.14)	87.42 (+0.60)	87.62 (+0.80)	<b>86.57 (-0.25)</b>	82.93
CIFAR-10, $\ell_\infty, \epsilon = 8/255$								
Uncovering limits [123] †	WRN-70-16	91.10	67.96	66.70 (-1.26)	66.78 (-1.18)	66.08 (-1.88)	<b>65.96 (-2.00)</b>	65.87
Fixing data augmentation [131]	WRN-106-16	88.50	67.57	65.55 (-2.02)	65.61 (-1.96)	64.94 (-2.63)	<b>64.75 (-2.82)</b>	64.58
Fixing data augmentation [131]	WRN-70-16	88.54	67.27	65.23 (-2.04)	65.32 (-1.95)	64.57 (-2.70)	<b>64.46 (-2.81)</b>	64.20
Proper definition [133]	WRN-70-16	89.01	66.66	63.94 (-2.72)	64.01 (-2.65)	63.65 (-3.01)	<b>63.49 (-3.17)</b>	63.35
Uncovering limits [123] †	WRN-28-10	89.48	65.59	63.62 (-1.97)	63.82 (-1.77)	63.05 (-2.90)	<b>62.96 (-2.63)</b>	62.76
Proper definition [133]	WRN-28-10	88.61	64.66	61.55 (-3.11)	61.62 (-3.04)	61.19 (-3.47)	<b>61.12 (-3.54)</b>	61.04
Adversarial weight perturbation [32] †	WRN-28-10	88.25	63.18	60.51 (-2.67)	60.60 (-2.58)	60.18 (-3.00)	<b>60.09 (-3.09)</b>	60.04
Unlabeled data [22] †	WRN-28-10	89.69	61.60	60.47 (-1.13)	60.67 (-0.93)	59.82 (-1.78)	<b>59.72 (-1.88)</b>	59.53
HYDRA [7] †	WRN-28-10	88.98	59.53	58.21 (-1.32)	58.30 (-1.23)	57.52 (-2.01)	<b>57.38 (-2.15)</b>	57.14
Misclassification-aware [25]	WRN-28-10	87.50	61.60	58.03 (-3.57)	58.73 (-2.87)	57.20 (-4.40)	<b>56.88 (-4.72)</b>	56.29
Pre-training [24] †	WRN-28-10	87.11	57.07	56.27 (-0.80)	57.07 (0.00)	55.22 (-1.85)	<b>55.10 (-1.97)</b>	54.92
Hypersphere embedding [31]	WRN-34-20	85.14	61.43	55.35 (-6.08)	56.21 (-5.22)	54.37 (-7.06)	<b>53.85 (-7.58)</b>	53.74
Overfitting [33]	WRN-34-20	85.34	56.85	55.22 (-1.63)	55.97 (-0.88)	53.87 (-2.98)	<b>53.62 (-3.23)</b>	53.42
Self-adaptive training [104] ‡	WRN-34-10	83.48	56.12	54.30 (-1.82)	54.73 (-1.39)	53.64 (-2.48)	<b>53.48 (-2.64)</b>	53.34
TRADES [14] ‡	WRN-34-10	84.92	55.21	53.94 (-1.27)	54.11 (-1.10)	53.38 (-1.83)	<b>53.22 (-1.99)</b>	53.08
Robustness library [34]	RN-50	87.03	51.56	52.07 (+0.51)	52.81 (+1.25)	50.04 (-1.52)	<b>49.84 (-1.72)</b>	49.25
Neural level sets [15] ‡	RN-18	81.30	79.12	40.07 (-39.05)	45.10 (-34.02)	79.69 (+0.57)	<b>40.06 (-39.06)</b>	39.77
YOPO [30]	WRN-34-10	87.20	46.05	47.02 (+0.97)	47.55 (+1.50)	45.30 (-0.75)	<b>45.19 (-0.86)</b>	44.83
Fast adversarial training [26]	RN-18	83.34	45.75	45.81 (+0.06)	46.89 (+1.14)	43.71 (-2.04)	<b>43.57 (-2.18)</b>	43.21



# Ablation study

- Convergence speed



- Boost the capability of existing attack strategies

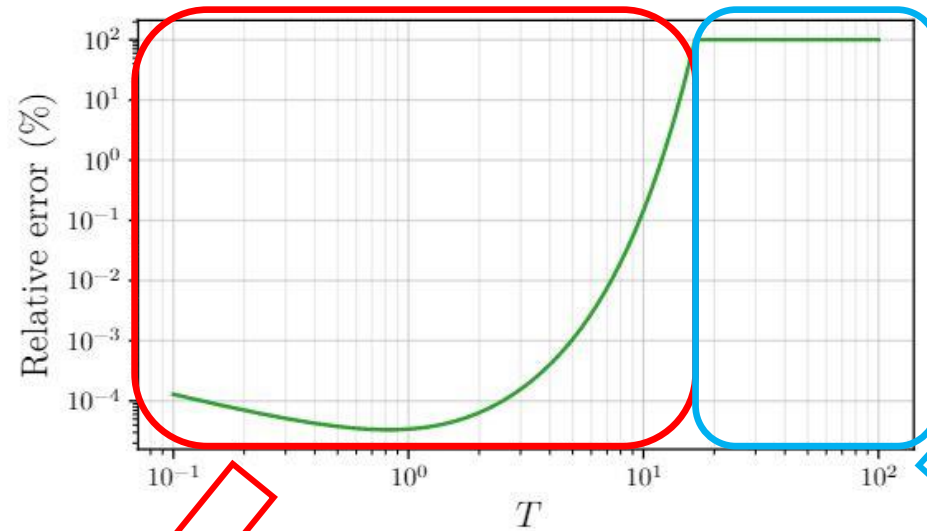
Attack iteration	FGSM	PGD	APGD_DLR	AA
Original	79.83	79.79	45.90	40.22
MIFPE	<b>49.76</b>	<b>40.06</b>	<b>40.49</b>	<b>39.89</b>
$\nabla$	30.07	39.73	5.41	0.33

# Ablation study

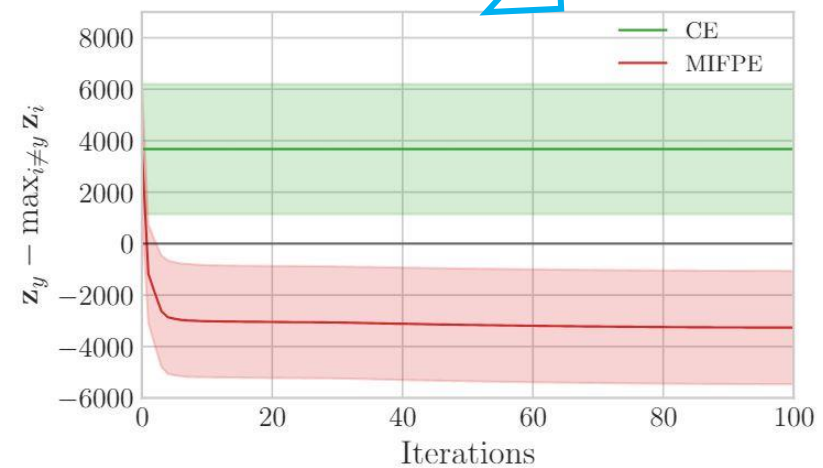
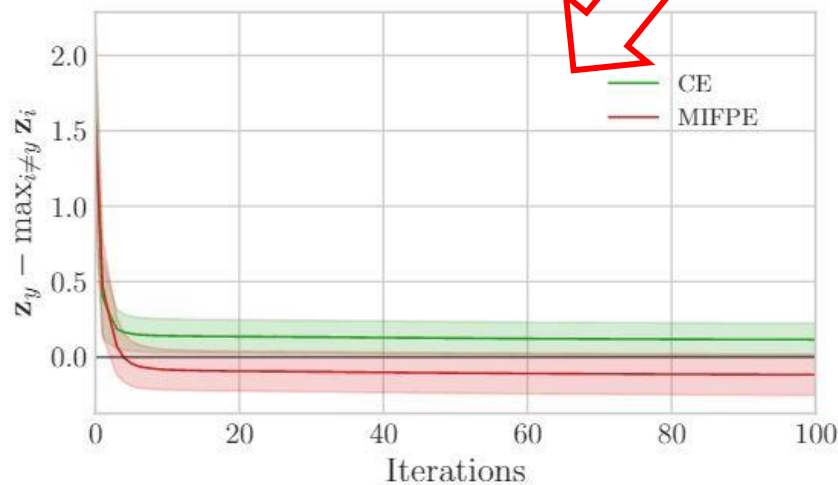


$Z = z_y - \max_{i \neq y} z_i$  Its sign indicates if the attack is successful or not.

**Rounding error**



**Underflow**





**Thanks for listening!**