

Poster Session: WED-AM-045

BIOMECHANICS-GUIDED FACIAL ACTION UNIT DETECTION THROUGH FORCE MODELING

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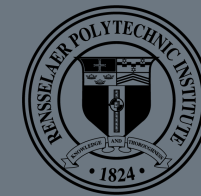
JUNE 18-22, 2023

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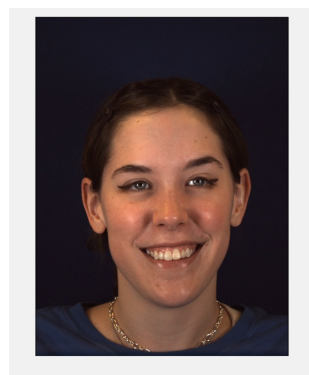
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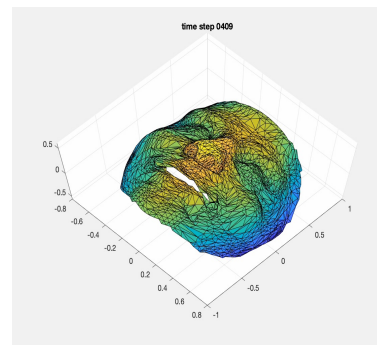
This work is supported by the Rensselaer-IBM
AI Research Collaboration (<http://airc.rpi.edu>) |

PREVIEW

- Action Unit (AU) detection is to detect if the corresponding facial muscle is activated or not given 2D observations
- Biomechanics studies the dynamics of 3D geometric deformation caused by facial muscle contractions
- Leverage the facial biomechanics for AU detection given observable 2D videos

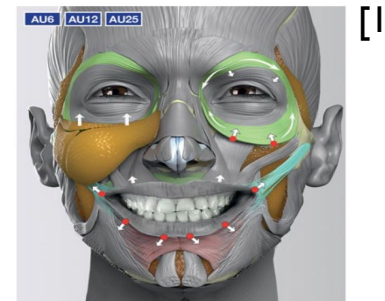


2D Video



3D Deformation

Biomechanics



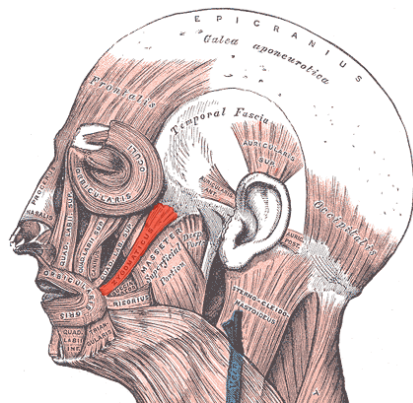
Muscle Activation Forces



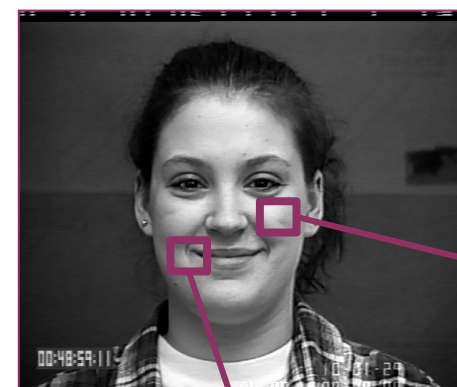
For each frame,
AU is On or Off?

ACTION UNIT (AU) DETECTION

- Action Unit (AU) detection:
 - AU describes a local facial muscle activation. For example, *AU12 (lip corner puller)* is corresponding to the muscle *zygomatic major*
 - AU detection is to detect if the corresponding muscle is activated or not from 2D observations



Zygomaticus major



[1]

AU6(Cheek Raiser):'ON'

AU12(Lip Corner Puller):'ON'

EXISTING AU MODELS

- Existing deep models for AU detection are mainly data-driven:



Black-box
Deep Models

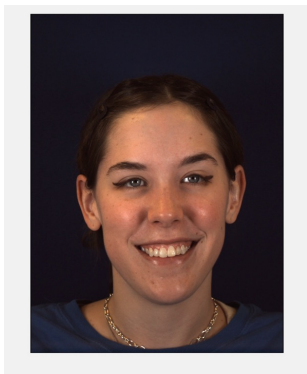


Label:
AU6 is On

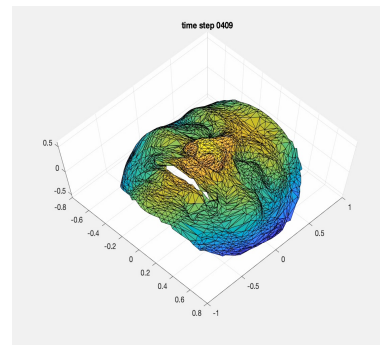
- They rely on *sufficient annotated training data* to perform well
- They may *not generalize* well to unseen subjects

BIOMECHANICS-GUIDED AU DETECTION

- Leverage the facial biomechanics for AU detection given observable 2D videos
- Biomechanics studies the dynamics of 3D geometric deformation caused by facial muscle contractions
- Biomechanics-guided AU detection through facial muscle activation force modeling
 - Step 1: Estimate physically plausible muscle activation forces using an Encoder-Decoder Network
 - Step 2: Perform AU detection with estimated muscle activation forces

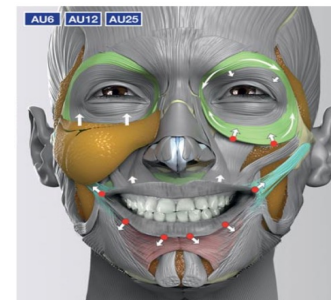


2D Video (from BP4D)



3D Deformation

Biomechanics



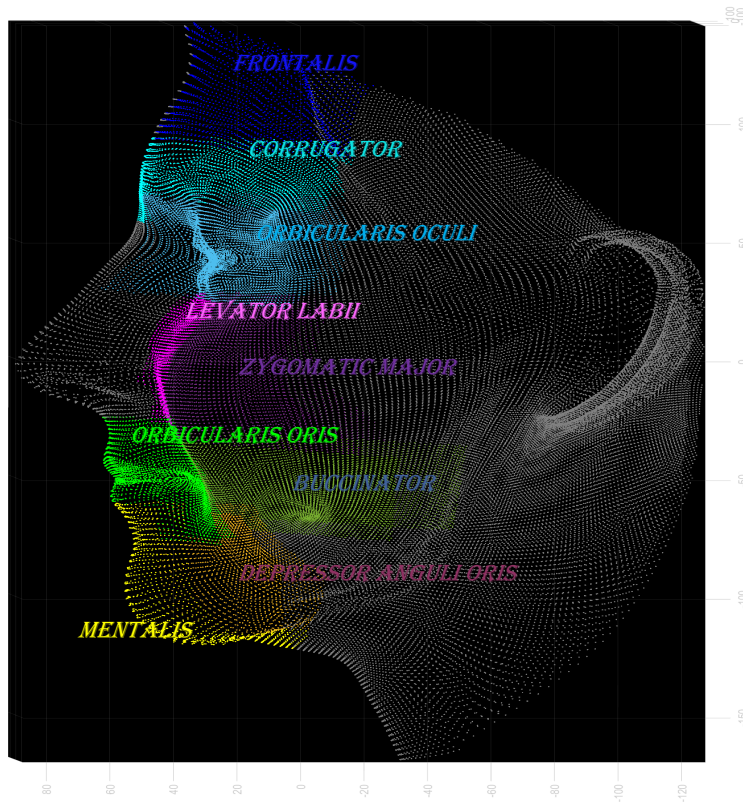
Muscle Activation Forces



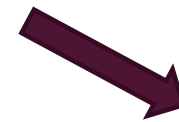
For each frame,
AU is On or Off?

FACIAL MUSCLE ACTIVATION FORCE

- For each muscle, a subset of vertices are displaced as the muscle contracts
- We identify 9 major muscles and define their effective areas on the mesh



Example 1

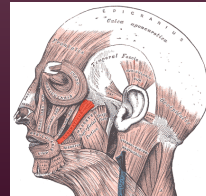


Example 2

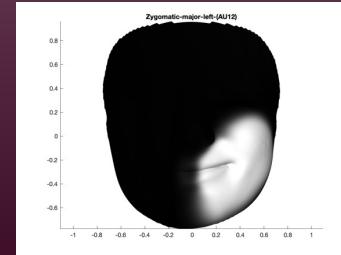
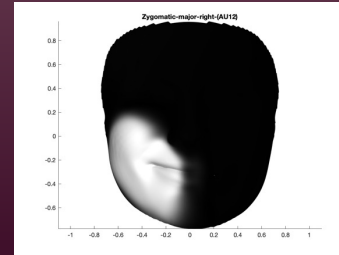
Muscle

Effective Areas

Action Unit

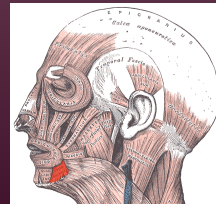


Zygomaticus major

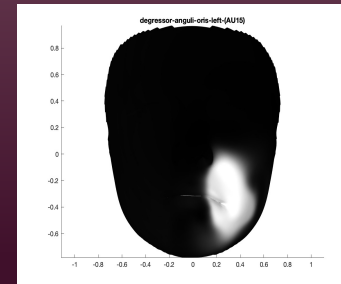
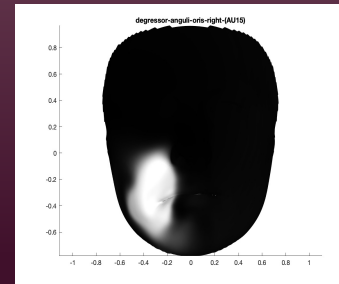


AU12

Lip Corner Puller



Depressor anguli oris

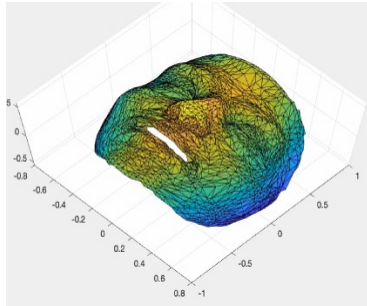


AU15

Lip Corner Depressor

BIOMECHANICS REPRESENTATION

-- ORDINARY DIFFERENTIAL EQUATION



3D mesh vertex $\mathbf{u}(t)$

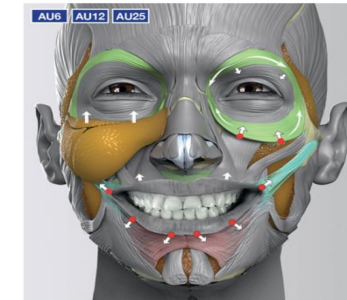
$$\mathbf{u}_i(t) = \{u_{i,x}(t), u_{i,y}(t), u_{i,z}(t)\}$$



**Ordinary
Differential
Equation**

External force for each
vertex

$$\mathbf{F}_i^{ext} = \{F_{i,x}, F_{i,y}, F_{i,z}\}$$



Muscle activation force in 3D
 \mathbf{F}_j^{mus}

To reduce the dimension, a generalized coordinate defined by blendshape basis is considered

$$\mathbf{u} = c_1 B_1 + c_2 B_2 + \dots + c_K B_K$$

- Blendshape basis $B = \{B_k\}$ with $B_k \in \mathbb{R}^{N \times 3}$ being k -th blendshape is known
- Linear coefficients $\mathbf{c} = \{c_k\}$ are corresponding to a mesh \mathbf{u}
- With the generalized coordinate, we only need to deal with $\mathbf{c} \in \mathbb{R}^{K \times 1}$. K is the dimension of the blendshape basis

Applying Euler-Lagrangian equation, we derive the dynamic law in the generalized coordinate:

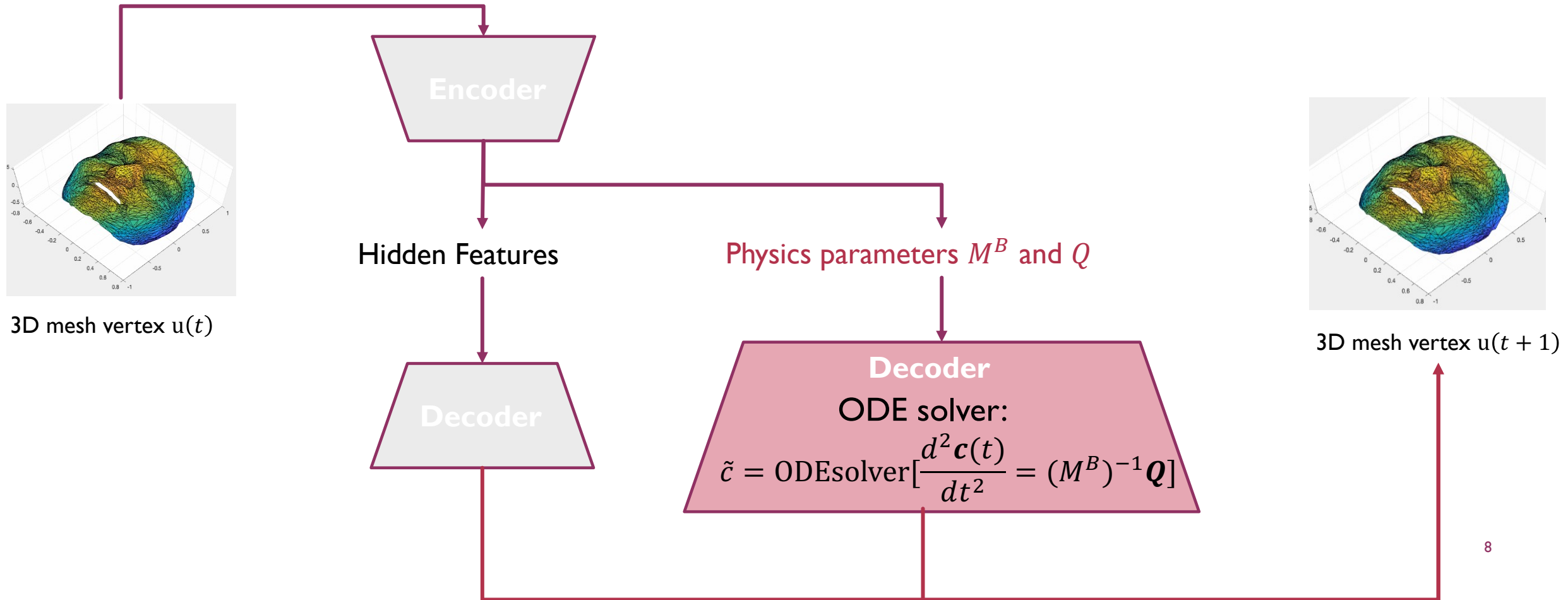
$$M^B \frac{d^2 \mathbf{c}(t)}{dt^2} + \frac{dM^B}{dt} \dot{\mathbf{c}} - \frac{1}{2} \dot{\mathbf{c}}^T \left(\frac{\partial M^B}{\partial \mathbf{c}} \right)^T \dot{\mathbf{c}} = Q$$

$$\Rightarrow M^B \frac{d^2 \mathbf{c}(t)}{dt^2} = Q$$

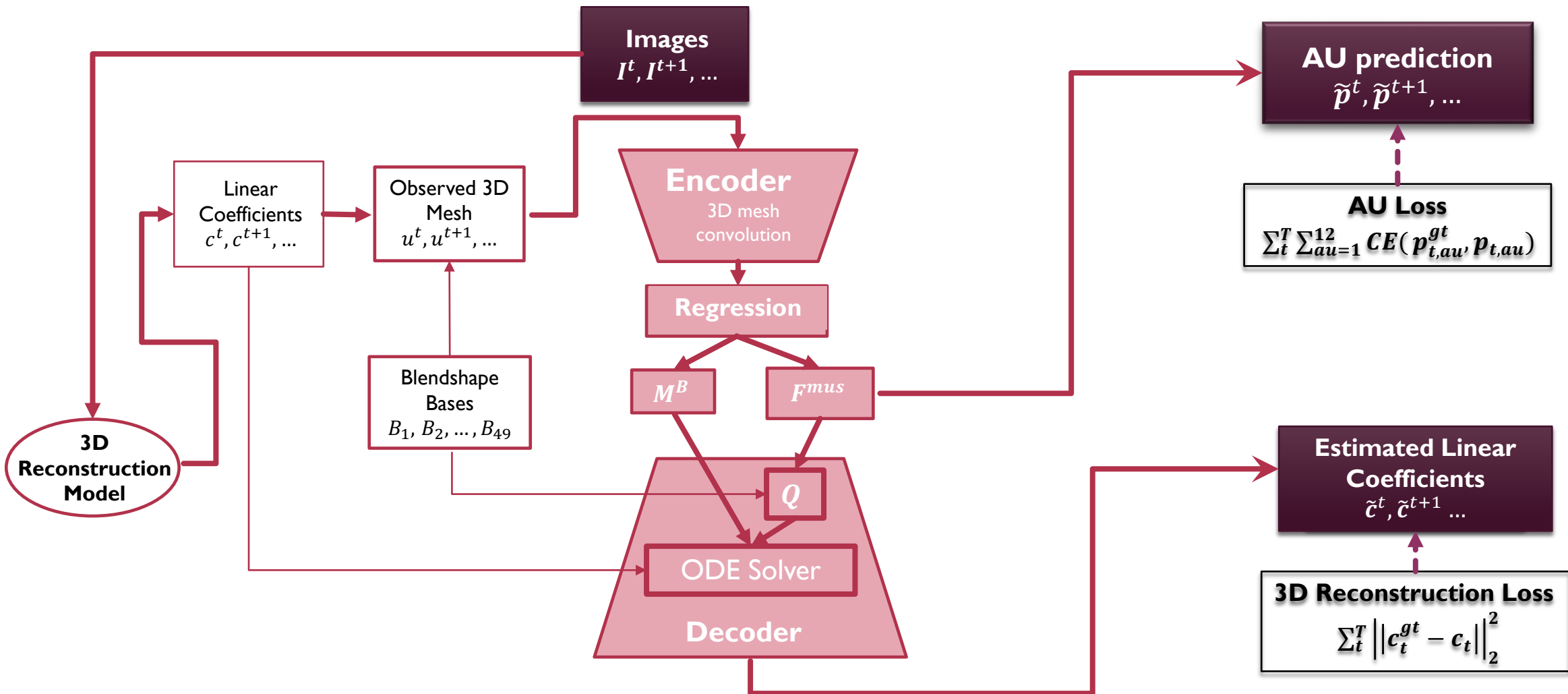
- $M^B \in \mathbb{R}^{K \times K}$ is the generalized mass, and can be analytically computed with M and B
- $Q \in \mathbb{R}^{K \times 1}$ is the generalized force, and can be analytically computed with F^{ext} and B

FACIAL BIOMECHANICS INTEGRATION

-- CUSTOMIZE THE ARCHITECTURE OF ENCODER-DECODER NETWORK



MODEL ARCHITECTURE



EXPERIMENTAL RESULTS

-- DATA EFFICIENCY EVALUATION

- We compare a baseline AU model trained with AU loss only and a baseline AU model trained with both AU loss and 3D reconstruction loss

Training Settings (BP4D)	AU Prediction (F1-score)	
	AU loss	AU loss + 3D reconstruction loss
100% Training Data	.51	.47
50% Training Data	.39	.46
20% Training Data	.35	.44
5% Training Data	.28	.33

- Using AU annotations only can't perform well on reduced training set
- Leveraging physics-based 3D reconstruction, AU detection performance significantly outperforms the one with AU loss only

THANK YOU!

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