



# Logical Consistency and Greater Descriptive Power for Facial Hair Attribute Learning

Haiyu Wu, Grace Bezold, Aman Bhatta, Kevin W. Bowyer

Department of Computer Science & Engineering, University of Notre Dame

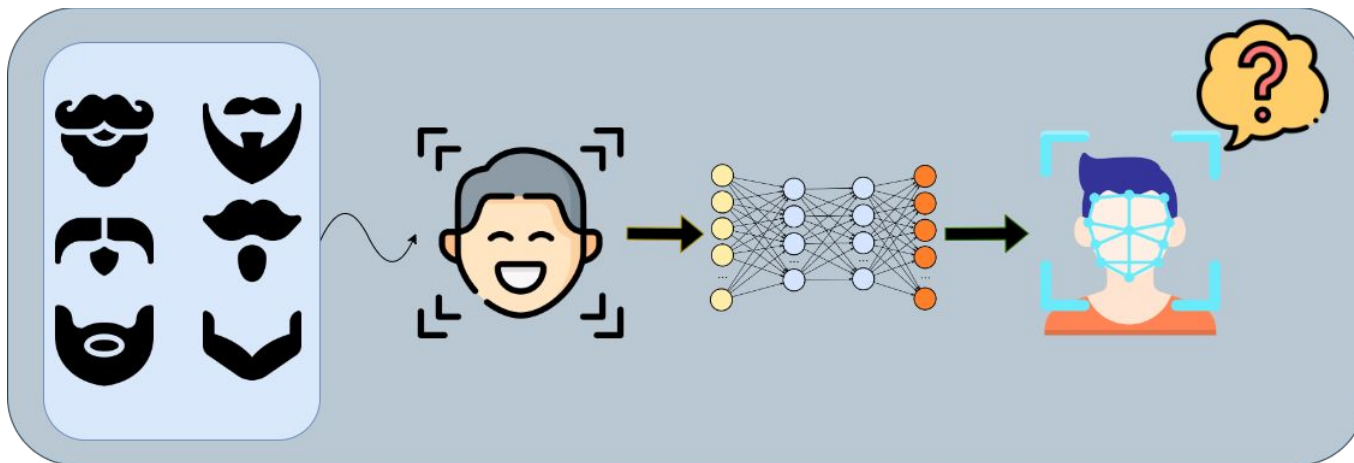
WED-AM-035



**Quick Preview**

## Facial hair attributes in existing datasets:

- 5 o'clock shadow
- Mustache
- Sideburns
- No Beard
- Goatee



## Goal

Richer information on facial hair - area, length, connectedness

## Annotation options

*Beard area:* clean shaven, chin area, side to side, info\_not\_vis

*Beard length:* 5 o'clock shadow, short, medium, long, info\_not\_vis

*Mustache:* none, isolated, connected to beard, info not vis

*Sideburns:* none, sideburns present, connected to beard, info not vis

*Bald:* false, top only, sides only, top and sides, info not vis



***Contribution: Dataset with more descriptive facial hair annotations.***

Performance of the models trained with FH37K dataset

- Traditional methods do not consider the logical relationships of attributes
- Methods that handle the data imbalance might give a high accuracy illusion on positive side
- Proposed LCPloss and label compensation strategy has the best performance.

model training	$ACC_{avg}$	$ACC_{avg}^n$	$ACC_{avg}^p$
Not considering logical consistency ...			
BCE	88.82	93.72	54.97
BCE*	90.22	94.72	63.73
BCE-MOON*	88.96	90.67	<b>81.75</b>
BF*	89.84	95.43	58.41
Considering logical consistency ...			
BCE	45.10	46.02	32.62
BCE*	53.29	54.59	42.40
BCE-MOON*	46.46	47.54	32.95
BF*	39.96	40.95	31.45

model training	$ACC_{avg}$	$ACC_{avg}^n$	$ACC_{avg}^p$
Label compensation on test ...			
BCE + LC	87.47	90.08	61.55
BCE + LC*	88.83	91.49	68.78
BCE-MOON + LC*	49.39	50.55	34.62
BF + LC*	88.10	90.91	66.05
BCE + LCP + LC	87.82	90.37	59.05
BCE + LCP + LC*	89.46	92.02	66.71
Label compensation on train and test ...			
BCE + LCP + LC	88.30	91.10	62.44
BCE + LCP + LC*	<b>89.89</b>	<b>92.65</b>	<b>70.23</b>

**Contribution: Approach to handle logical consistency across annotations.**

# Effect of beard area in face recognition

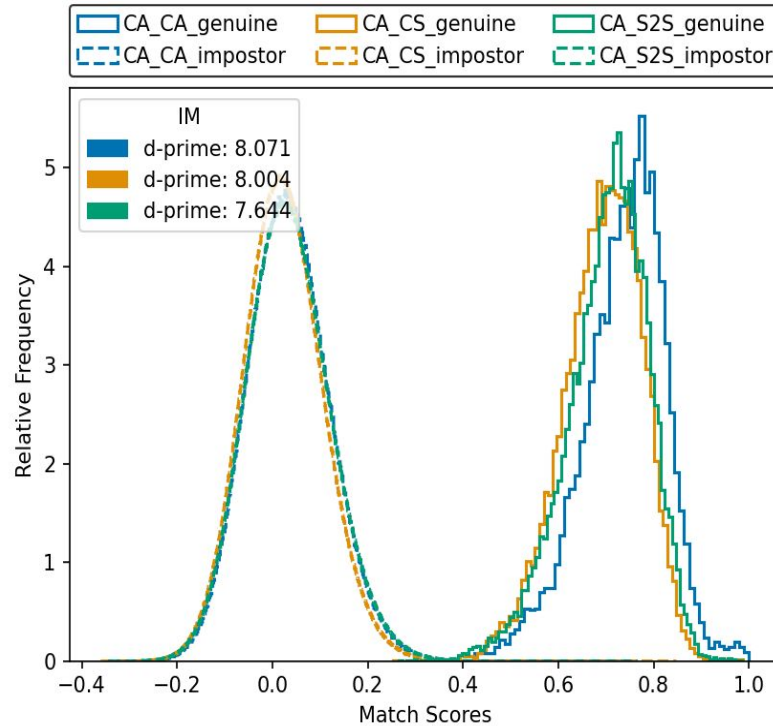
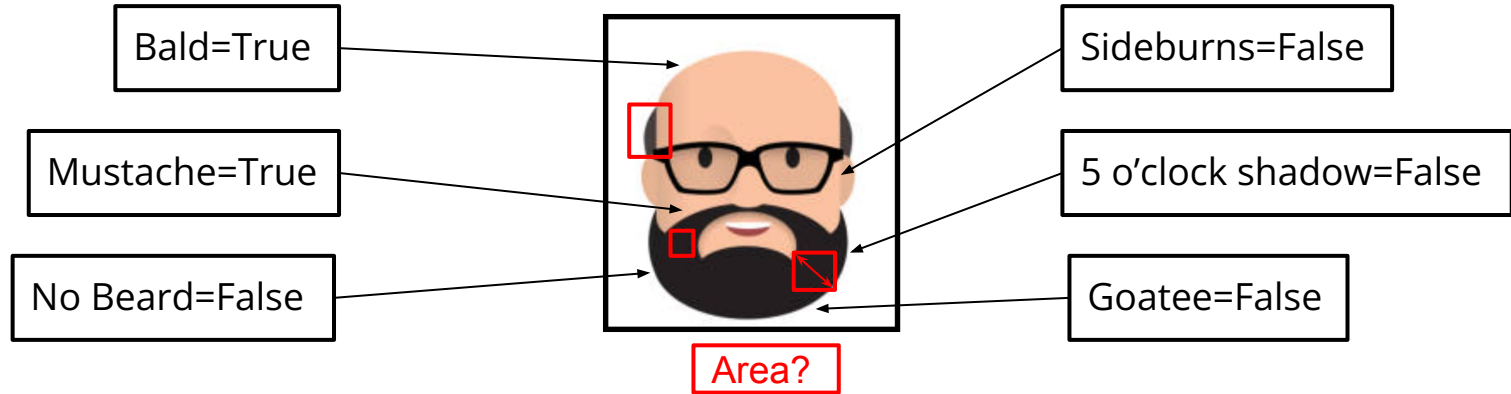


Image pairs with same beard area **increases** similarity value on **genuine side and impostor side**

**Same** beard area has **different** effect in face recognition accuracy across **different** demographics.

**Contribution: Facial hair effects on recognition accuracy across demographics.**

**FH37K dataset**



**More descriptive attributes are needed!**



# Limitations of existing datasets



	# of images	# of ids	# of facial hair attributes	Area	Length	CNDN	$E_c$
Berkeley Human Attributes [10]*	8,053	-	0	0	0	0	✗
Attributes 25K [55]	24,963	24,963	0	0	0	0	✗
FaceTracer [29]*	15,000	15,000	1 (Mustache)	0	0	0	✗
Ego-Humans [48]	2,714	-	1 (			0	✗
CelebA [36]*	202,599	10,177	5 (5 o'Clock, Goatee, ...)	1	1	0	✗
LFWA [36]*	13,233			1	1	0	✗
PubFig [32]*	58,797		1 (5 o'Clock, Goatee, ...)	1	1	0	✗
LFW [26]*	13,233	5,749	5 (5 o'Clock, Goatee, ...)	1	1	0	✗
UMD-AED [22]	2,800	-	5 (5 o'Clock, Goatee, ...)	1	1	0	✗
YouTube Faces Dataset (with attribute labels [23])	3,425	1,595	5 (5 o'Clock, Goatee, ...)	1	1	0	✗
CelebV-HQ [57]*	35,666 video clips	15,653	5 (5 o'Clock, Goatee, ...)	1	1	0	✗
MAAD-Face [47]*	3.3M	9,131	5 (5 o'Clock, Goatee, ...)	1	1	0	✓
<b>FH37K (this paper)</b>	37,565	5,216	<b>17 (Chin area, Short...)</b>	<b>4</b>	<b>4</b>	<b>4</b>	<b>✓</b>

Poor facial hair descriptions

Lack of evaluation on ground truth labels

Table 1. Comparison of facial hair descriptions in face attribute datasets. CNDN and  $E_c$  stand for connectedness and estimating the consistency rate of the annotations. Datasets with \* are available online. FH37K has richer annotations that can cover the area, length, and connectedness of the facial hair.

## Goal

Richer information on facial hair - area, length, connectedness

## Annotation options

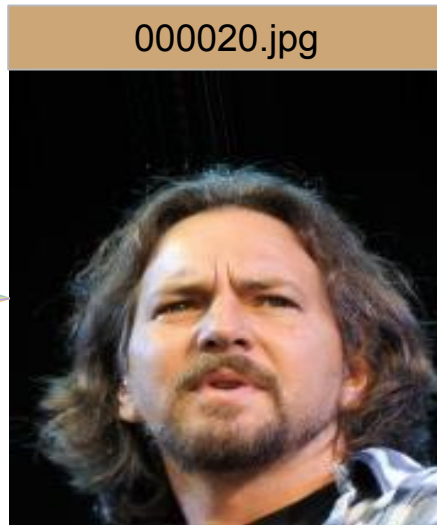
*Beard area:* clean shaven, chin area, side to side, info\_not\_vis

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*Sideburns:* none, sideburns present, connected to beard, info not vis

*Bald:* false, top only, sides only, top and sides, info not vis

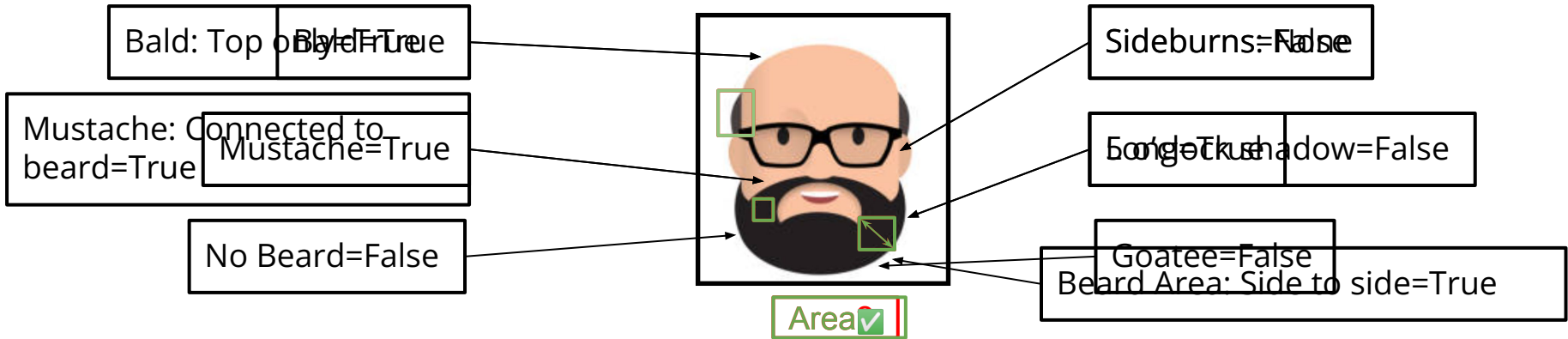


## Data

The images we used are the subset of the CelebA, which are originally marked as No Beard = False. Also, the subset of the WebFace260M dataset (picking images for minority classes).

Documentation is available at: [Definition of Facial Hair Annotations](#)

# Facial hair description



We need more powerful descriptions!

**Logical consistency of predictions**



- **Mutually exclusive:** The relationship among positive predictions must be **logical**, otherwise the predictions are **impossible**.
- **Dependency:** If attribute A is true, the attribute B **must be true**, otherwise the predictions are **impossible**.
- **Collectively exhaustive:** One of a group of attributes **must be true**, otherwise the predictions are **incomplete**.

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## Algorithm 1 Failed prediction detection

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### Attribute groups

*Beard areas:* Clean Shaven, Chin Area, Side to Side, Info not Vis

*Beard lengths:* 5 O'clock Shadow, Median, Long, Info not Vis

*Mustache:* None, Isolated, Connected-to-beard, Info not Vis

*Sideburns:* None, Present, Connected-to-beard, Info not Vis

*Bald:* False, Top only, Sides only, Top and Sides, Info not Vis

### Fail conditions

*Mutually exclusive:*

1. More than one positive predictions in Beard areas (except Info not Vis), Beard lengths (except Info not Vis) Mustache, Sideburns, Bald group
2. Clean Shaven + any of the Beard lengths/Mustache Connected-to-beard/Sideburns Connected-to-beard
3. Chin area + Sideburns Connected-to-beard
4. Bald (Top and Sides or Sides only) + having sideburns (Sideburns Present, Sideburns Connected-to-beard)

*Dependency:*

1. Having beard (Chin Area, Side to Side) + one of the beard lengths must be true
2. Mustache is connected to beard + !(Chin Area, Side to Side)
3. Sideburns is connected to beard + !Side to Side

*Collectively exhaustive*

No positive prediction in Beard area/Beard lengths/Mustache/Sideburns/Bald

**Impossible:** prediction fits any of the conditions in *Mutually exclusive* and *Dependency*

**Incomplete:** prediction fits any of the conditions in *Collectively exhaustive*

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**Backbone:** ResNet50

**Loss functions:**

Baseline: BCE

Handling imbalance data: BF, BCE-MOON

\*: transfer learning

model training	$ACC_{avg}$	$ACC_{avg}^n$	$ACC_{avg}^p$
Not considering logical consistency ...			
BCE	88.82	93.72	54.97
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Considering logical consistency ...			
BCE	45.10	46.02	32.62
BCE*	53.29	54.59	42.40
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BF*	39.96	40.95	31.45

--- ► *Every logically inconsistent prediction is considered as **incorrect***

After considering logical consistency on predictions, the accuracy drops **significantly!**  
**(43.26% decrease on average)**

Test set (**600K images**):

The images in the first 30,000 ID folders of WebFace260M

model training	$N_{inp}$	$N_{imp}$	$R_{failed}$
BCE	333,773	1,054	<b>55.05</b>
BCE*	242,279	6,034	<b>40.83</b>
BCE-MOON*	31,656	315,756	<b>57.12</b>
BF*	340,898	1,314	<b>56.27</b>

**On average, 52.32%** of the predictions are failed



# **The proposed methods**

## Step1: Group attributes

Mutually exclusive:

$$A_{ex} = \{attr_1, attr_2, \dots, attr_N\}$$

$$L_{ex} = \{l_1, l_2, \dots, l_N\}$$

Dependency:

$$A_d = \{attr_1, attr_2, \dots, attr_N\}$$

$$L_d = \{l_1, l_2, \dots, l_N\}$$

## Step3: Optimization

Force  $\mathcal{P}_{ex}$  to 0,  $\mathcal{P}_d$  to 1

$$\mathcal{L}_{LCP} = \|\alpha\mathcal{P}_{ex} + \beta(1 - \mathcal{P}_d)\|^2$$

## Step2: Conditional Probability on predictions

$$\mathcal{P}_d = \mathcal{P}(L_d|A_d) \quad \mathcal{P}_{ex} = \mathcal{P}(A_{ex} \cap L_{ex})$$

Since  $\mathcal{P}_{ex} = \mathcal{P}(L_{ex}|A_{ex})P(A_{ex})$ , we can formulate the calculation of  $\mathcal{P}_{ex}$  and  $\mathcal{P}_d$  as:

$$\mathcal{P} = \frac{1}{N} \sum_{i=0}^N \mathcal{P}(\sum l_i > 0 | attr_i == 1) \quad (4)$$

$l_i$  and  $attr_i$  are **binary predictions** after thresholding.

## Step4: Combine with BCE

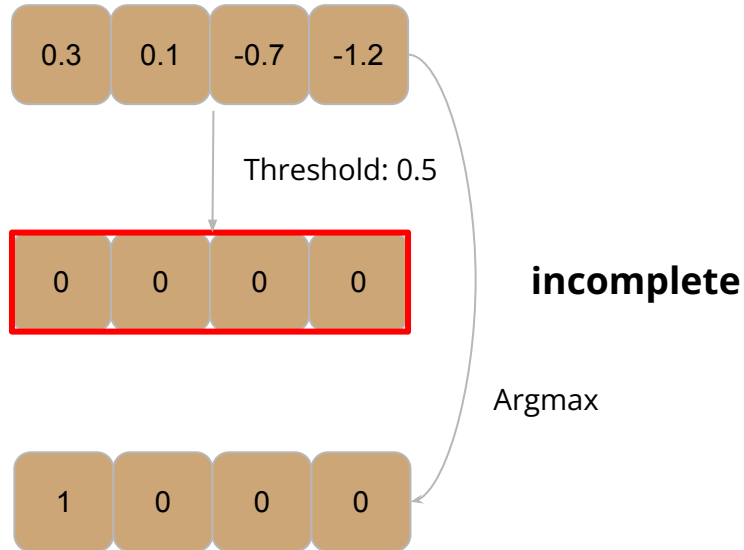
$$\mathcal{L}_{total} = (1 - \lambda)\mathcal{L}_{BCE} + \lambda\mathcal{L}_{LCP}$$

# Label compensation - handle incomplete cases



Pick the **maximum** confidence value as the **positive** prediction in a **group** of attributes

Beard area: CS, CA, S2S, Info not Vis



model training	ACC <sub>avg</sub>	ACC <sub>avg</sub> <sup>n</sup>	ACC <sub>avg</sub> <sup>p</sup>
Not considering logical consistency ...			
BCE	88.82	93.72	54.97
BCE*	90.22	94.72	63.73
BCE-MOON*	88.96	90.67	<b>81.75</b>
BF*	89.84	95.43	58.41
BCE + LCP	88.90	95.55	46.13
BCE + LCP*	90.63	95.87	58.15
BCE + LCP + LC	89.11	95.06	52.17
BCE + LCP + LC*	<b>90.90</b>	<b>95.98</b>	63.30

model training	ACC <sub>avg</sub>	ACC <sub>avg</sub> <sup>n</sup>	ACC <sub>avg</sub> <sup>p</sup>
Considering logical consistency ...			
BCE	45.10	46.02	32.62
BCE*	53.29	54.59	42.40
BCE-MOON*	46.46	47.54	32.95
BF*	39.96	40.95	31.45
BCE + LCP	27.66	28.19	18.80
BCE + LCP*	42.86	43.70	33.67
Label compensation on test ...			
BCE + LC	87.47	90.08	61.55
BCE + LC*	88.83	91.49	68.78
BCE-MOON + LC*	49.39	50.55	34.62
BF + LC*	88.10	90.91	66.05
BCE + LCP + LC	87.82	90.37	59.05
BCE + LCP + LC*	89.46	92.02	66.71
Label compensation on train and test ...			
BCE + LCP + LC	88.30	91.10	62.44
BCE + LCP + LC*	<b>89.89</b>	<b>92.65</b>	<b>70.23</b>

Conclusions:

1. Label compensation can improve the accuracy
2. Labeling images in the logically consistent way can guide the model learning the logically consistent pattern on-the-fly
3. The classification method that can handle the imbalance data can give a **high-accuracy illusion**
4. **The proposed method has the outstanding performance**

# Logical consistency in real-world evaluation



model training	$N_{inp}$	$N_{imp}$	$R_{failed}$
BCE	331,870	1,038	55.13
BCE*	240,761	6,001	40.86
BCE-MOON*	31,512	313,044	57.05
BF*	339,136	1,295	56.37
BCE + LCP	470,806	117	77.98
BCE + LCP*	307,576	300	50.98

Label compensation on test ...			
BCE + LC	0	10,215	1.69
BCE + LC*	0	11,134	1.84
BCE-MOON + LC*	0	330,115	54.66
BF + LC*	0	14,007	2.32
BCE + LCP + LC	0	14,097	2.33
BCE + LCP + LC*	0	6,083	1.01
Label compensation on train and test ...			
BCE + LCP + LC	0	7,693	1.27
BCE + LCP + LC*	0	5,595	0.93

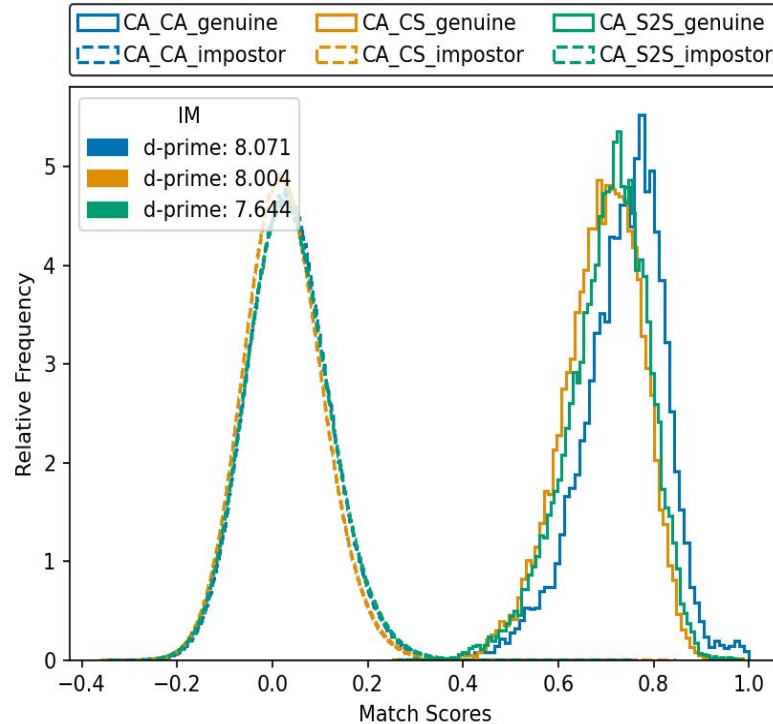
Conclusions:

1. The Label compensation method can **eliminate** the incomplete cases
2. Labeling images in the logically consistent way can guide the model learning the logically consistent pattern on-the-fly
3. **The proposed method has the lowest fail rate**

**Effect of beard area on face  
recognition accuracy**

Dataset: **BA-test**  
Face matcher: MagFace

Image pairs with same beard area **increases** similarity value on both **genuine side and impostor side**



# Same beard area focused



Similarity value high to low

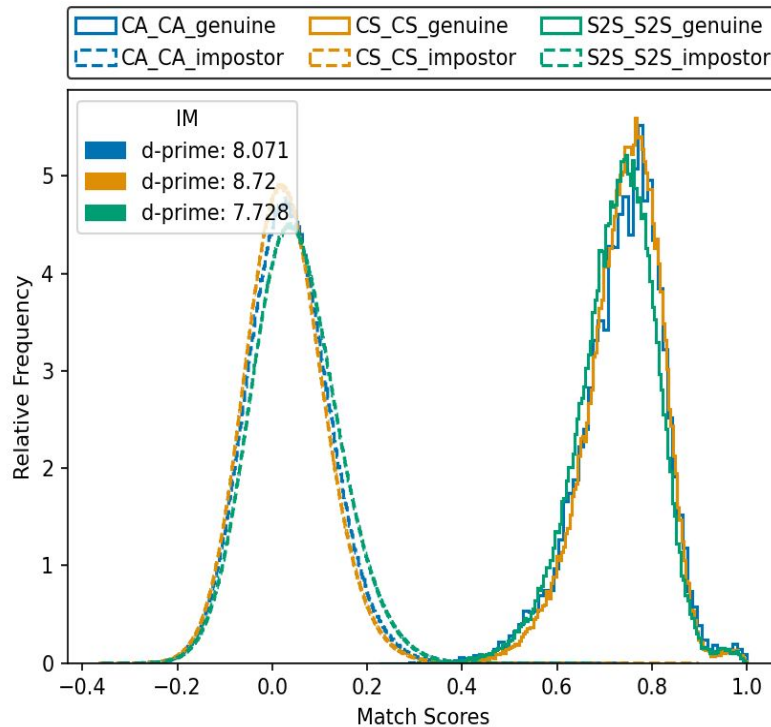
Impostor side:

WM:  $(S2S, S2S) > (CA, CA) > (CS, CS)$

AM:  $(CA, CA) > (S2S, S2S) > (CS, CS)$

BM:  $(S2S, S2S) > (CA, CA) > (CS, CS)$

IM:  $(S2S, S2S) > (CS, CS) > (CA, CA)$



Similarity value high to low

Genuine side:

WM: No obvious difference

AM:  $(S2S, S2S) > (CA, CA) > (CS, CS)$

BM:  $(CS, CS) > (CA, CA) > (S2S, S2S)$

IM:  $(CS, CS) == (CA, CA) > (S2S, S2S)$

MagFace





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